



Department of Commerce and Allied Subjects

ACTIVITY APPROVAL FORM

Program: Certificate Course in Capital Markets to Qualify Industry Certification

Need: Commerce students need to have detailed knowledge about Capital markets and their working in the country.

Objective: To provide an insight on understanding the Drafting of Financial Plan for Portfolio Construction, Capital Markets, Wealth Management, live trading and Preparation of financial plan for self. etc.

Content: Capital market, Analysis of Mutual Funds, Types of Risk, Types of Mutual Funds, Wealth Management, Creation of Portfolio, Evaluating the performance of portfolio, Setting and understanding the investment objectives etc.

Duration: 30 hours

Venue: Audio -Visual Room, Sixth Floor

Fees: 1,500/- per student

Proposed By: Department of Commerce and Allied Subjects

Verified By: 



Bunts Sangha's
S.M.Shetty College of Science, Commerce and Management Studies,
Powai, Mumbai - 400076
NAAC Accredited 'A' Grade
IMC RBNQ Certificate of Merit 2019
ISO 21001:2018 Certified

DEPARTMENT OF COMMERCE & ALLIED SUBJECTS

MINUTES OF THE MEETING

The Commerce Departmental meeting was held on 13th Septembers 2022 at 12:00 pm. Issues discussed are as follows:


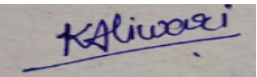

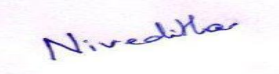

1. It was informed that the Department of Commerce in association with the Department of Commerce and Allied Subjects is going to offer a certificate course in Capital Markets to Qualify Industry Certification for all the students of the college, which will be majorly beneficial for the commerce students of B.COM, BAF. BBI & M.COM Finance students
2. It was also discussed that for the same, College is going to collaborate with Padma Nagda who is ready to offer 30 Hours Certificate Program
3. It was also decided that an orientation session will be organized on 27th September, 2022 for all the students of our college at Room No.709 where students will be informed about the details of the course, course benefits, exam rules along with the fees (Rs 1,500/-)
4. It was informed that a whatsapp message along with a Notice will be prepared stating the required information about the course, date and time of the orientation session.
5. On the basis of the orientation session, students can register for the course and pay the fees online through NEFT to a college bank account. Payment information will be provided to all the interested students through whatsapp messages. Period of at least 15 days should be given to students to pay the fees.
6. It was decided that a separate whatsapp group will be created for the students who pay the fees and all the course related information, notices, lectures link will be provided in that whatsapp group.
7. It was also decided that the course shall start in the month of December itself so that the course can get over before students' Semester Exams.
8. It was also informed that Asst. Prof. Komal Tiwari will be the Convenor of the certificate course.



Bunts Sangha's
S.M.Shetty College of Science, Commerce and Management Studies,
Powai, Mumbai - 400076
NAAC Accredited 'A' Grade
IMC RBNQ Certificate of Merit 2019
ISO 21001:2018 Certified

DEPARTMENT OF COMMERCE & ALLIED SUBJECTS

List of Attendees:

Sr. No.	Names of Attendees	Signature
1.	Ms. Sahana Raviprasad- BAF BBI Coordinator	
2.	Ms. Komal Tiwari	
3.	Mr. Virendra Singh	
4.	Ms. Niveditha Shetty	
5.	Ms. Avneet Kaur	
6.	Mr. Chirag Chandan	



Bunts Sangha's
S.M.Shetty College of Science, Commerce and Management Studies,
Powai, Mumbai - 400076
NAAC Accredited 'A' Grade
IMC RBNQ Certificate of Merit 2019
ISO 21001:2018 Certified

Department of Commerce and Allied Subjects

PERMISSION LETTER

BUNTS SANGHA'S
S.M. SHETTY COLLEGE OF SCIENCE, COMMERCE &
MANAGEMENT STUDIES.
Powai, Mumbai - 400076

PERMISSION LETTER

Date: 14/09/2022

The Chairman
Powai Education Committee
Powai, Mumbai 400076.

Respected Sir,

This is to inform you that the Department of Commerce and Allied Subjects is conducting an online **'Certificate Course in Capital Market to Qualify Industry Certification'** for undergraduate students in association with Parivartan Learning Solutions Ltd. The tentative date for starting the course is September 25, 2022. The total duration of the course is 30 hours.

The course will help learners to gain theoretical knowledge and also covers practical knowledge & expertise to design and develop the Financial Plan for self. It will provide deep insights of Financial Planning areas and shall take learners to the practical learning via a real-life case study in developing the plan and discover ways in which they can establish themselves as a proficient Financial Planner/Advisor and clear NISM Exam.

The fee for the course is Rs. 1500/- per student.
We are planning to collect the amount from the students from 20th September, 2022 through the college Cosmos Bank account by online mode.

Requesting for your kind approval please.
Thanking You,

Ms. Sahana Raviprasad
Ms. Sahana Raviprasad
(BAF & BBI Coordinator)

Principal
Principal
14/9/2022

General Manager (A. & A.)
General
Manager (A. & A.)

Treasurer
Treasurer

Secretary
Secretary

Vice Chairman
Vice Chairman

Chairman
Chairman

Payment against collection.
Breakup attached.



Bunts Sangha's
S.M.Shetty College of Science, Commerce and Management Studies,
Powai, Mumbai - 400076
NAAC Accredited 'A' Grade
IMC RBNQ Certificate of Merit 2019
ISO 21001:2018 Certified

Department of Commerce and Allied Subjects

TIME TABLE

Date	Days	Activity	Timings	No.of hours
12 th December	Monday	Lecture	12 to 2	2
13 th December	Tuesday	Lecture	12 to 2	2
14 th December	Wednesday	Lecture	12 to 2	2
15 th December	Thursday	Lecture	12 to 2	2
16 th December	Friday	Lecture	12 to 2	2
17 th December	Saturday	Lecture	12 to 2	2
21st December	Wednesday	Lecture	12 to 2	2
22nd December	Thursday	Lecture	12 to 2	2
2nd January	Monday	Lecture	12 to 2	2
3rd January	Tuesday	Lecture	12 to 2	2
4th January	Wednesday	Lecture	12 to 2	2
5th January	Thursday	Practice	12 to 2	2
6th January	Friday	Practice	12 to 2	2
9th January	Monday	Exam	12 to 2	2
Activity				2
Total Hours				30

5 MOCK TEST / ASSIGNMENT WERE HELD DURING THE COURSE

Names of Faculty who have conducted the sessions:

- **Mr. Vishal Gada (Director - Financial Planning Academy)**
- **Ms. Yukti Gowda**

Title: CERTIFICATE COURSE IN CAPITAL MARKETS TO QUALIFY INDUSTRY CERTIFICATION

a) Name of the Programme: **DEPARTMENT OF COMMERCE & ALLIED SUBJECTS**

b) Nature & duration of the Programme: **CERTIFICATE COURSE IN CAPITAL MARKETS TO QUALIFY INDUSTRY CERTIFICATION**

Introduction :

The **CERTIFICATE COURSE IN CAPITAL MARKETS TO QUALIFY INDUSTRY CERTIFICATION** is 30 Hours. It aims to provide a proper learning environment to the learners.

This course will help the learners with various facets of the capital market. It has been carefully designed to provide a knowledge of Wealth management using the tools of fundamental & technical analysis to the learners.

BOARD OF STUDIES:

Chairman : Dr. Sridhara Shetty (Principal)

Members : Prof. Sandesha Shetty (Vice Principal)

Dr. Liji Santosh (Vice Principal)

Mrs. Sahana Raviprasad (Co-ordinator)

Mrs. Komal Tiwari

Mrs. Niveditha Shetty

Mr. Virendra Singh

Mrs. Avneet Kaur

Ms. Swati Shetty

External : Mr. Rupesh Nagda (Director -Parivartan Learning Solutions)

Mr. Sushan Shetty

Faculty

1. Mr. Rupesh Nagda (Director -Parivartan Learning Solutions)

2. Mr. Sushan Shetty

Vision Statement Program:

To facilitate creation of Dynamic and Effective people who can manage funds efficiently and contribute towards the development of the economy.

Objectives of the Program:

To provide an insight on understanding the Drafting of Financial Plan for Portfolio Construction, Capital Markets, Wealth Management, live trading and Preparation of financial plan for self. etc.

Course Objectives

- To understand the concept of Capital market.

- To understand ways of constructing a portfolio.
- To understand various tools of Fundamental and Technical analysis.
- To enable the learner to create a portfolio for themselves and manage it.

Course Outcomes:

- Gain an understanding of Wealth Management.
- Identify and demonstrate the dynamic nature of the stock market in which trading decisions are taken and appreciate the implications for trading strategy determination and implementation.
- Develop the learners' skills in applying the analytical perspectives on the concepts of capital market.
- Develop an understanding of the Wealth Management.
- Develop an in depth understanding on Mutual Funds.
- Develop understanding of learners relating to Evaluating and Managing portfolio for self.

Eligibility for Admission to this Course:

Admission to the course is open to any second and third year undergraduate of any stream.

Structure of the Program:

The Certificate course is 30 **hours**. The medium of instruction and examination will be only English. A learner would be required to complete the course within 30 hours from the date of admission.

Unit	Content	Hours	Faculty
I	Introduction to Portfolio Management- Basics of Portfolio Management, Strategies of Investment, Understanding the Investment Objectives	4	Rupesh Nagda
II	Fundamental & Technical Analysis - Basics of Technical Analysis, Fundamental Analysis - Ratio Analysis - How to read and interpret the financial statements of any company?	12	Sushan Shetty
III	Mutual Funds - Analysis, Types of Debt Funds, Types of Equity Funds, Types of Gold Funds	04	Sushan Shetty
IV	Practical Session - How to analyze the stock market? How to Analyze the Performance of Mutual Funds Evaluating the performance of the portfolio.	05	Rupesh Nagda
V	Assignments & Mock Tests - Learners need to create a portfolio of their own and Manage it. 5 Mock Tests will be conducted on completion of each unit and task.	05	Sushan Shetty

Scheme of Examination:

Courses shall be evaluated by various ways such as *Test, Presentations, Assignments*, during the term. Then the marks will be converted to grade points and grades.

Components of continuous evaluation system:

Following are the suggested components

- a) Case Study/Case let/Situation Analysis- (Group Activity or Individual Activity)
- b) Class Test
- c) Quiz

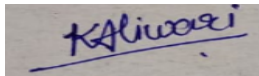
Course Designed by:

Mrs. SAHANA RAVIPRASAD

Mrs. KOMAL TIWARI

Mr. SUSHAN SHETTY

Ms. RUPESH NAGDA



Komal Tiwari
Course Convenor



Sahana Raviprasad
Head of the Department

Bunts Sangha's
S.M.Shetty College of Science, Commerce and Management Studies,
Powai, Mumbai - 400076
NAAC Accredited 'A' Grade
IMC RBNQ Certificate of Merit 2019
ISO 21001:2018 Certified

NOTICE

Greetings To All

The Department of BAF & BBI of Bunts Sangha's S.M.Shetty College of Science, Commerce & Management Studies

PRESENTS

A Certificate Course On

 " Capital Markets " 

OBJECTIVE OF THE COURSE:

- ◆ To provide an insight on understanding the Drafting of Financial Plan for Portfolio Construction, Capital Markets, Wealth Management, live trading and Preparation of financial plan for self. etc..
- ◆ To enable participants to get started into careers as a financial planner.

Contents covered in the course:

1. Areas to be considered for drafting Financial Plan or Portfolio construction.
2. Simulation with a Real-Life case study.
3. Basics of Mutual Funds and Wealth Management
4. Investment Strategies in Mutual Funds
5. Preparation of alternatives and presentation of the Plan.

Course Fee: Rs.1,500/-

Tentative Date for starting the course: 13th December 2022

For registration, please fill the below link:

https://docs.google.com/forms/d/e/1FAIpQLSfBabL10LK-zqad9A6hfRpx-bAwl4XgUy8_GpYacPQKE1TmXQ/viewform?usp=sf_link

Once done with registration, kindly join the WhatsApp group.

<https://chat.whatsapp.com/Dz5To9YHiR55mMTNyvPrek>

Payment mode will be provided after registration

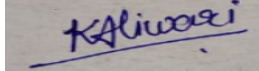
In case of any query, please contact: -

Prof Komal Tiwari

9921982489

Regards,

Department of BAF & BBI



Komal Tiwari
Course Convenor



Sahana Raviprasad
Head of the Department



Bunts Sangha's
S.M.Shetty College of Science, Commerce and Management Studies,
Powai, Mumbai - 400076
NAAC Accredited 'A' Grade
IMC RBNQ Certificate of Merit 2019
ISO 21001:2018 Certified

DEPARTMENT OF COMMERCE & ALLIED SUBJECTS

FEES COLLECTION NOTICE - 7 MARCH 2022

Dear Students,

It gives us immense pleasure to introduce CERTIFICATE COURSE IN CAPITAL MARKETS TO QUALIFY INDUSTRY CERTIFICATION for the betterment of our students. The said course is a 30 Hours programme.

OBJECTIVES OF THE COURSE

1. To enable students to learn about capital market.
2. To educate about the stock market and how to invest in it.
3. To learn how to manage risk in the capital market.
4. To learn how to select a winning stock.
5. To learn how to create a portfolio.
6. To learn about corporate actions and their impact on stock prices.

Other Highlights:

Duration of the course - 30 Hours

Certificate of Course completion will be provided to all students which can be incorporated by students in their CV as a value addition apart from Regular Degree.

FEES - Rs. 1,500/-

Online Payment details:

IFSC: COSB0000919

MICR CODE: 400164019

A/C NO.: 919050102356

NAME: S M SHETTY COLLEGE

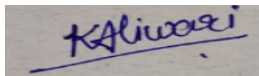
BRANCH: S.M.SHETTY SCHOOL PO

Registration Link:

https://docs.google.com/forms/d/e/1FAIpQLSfBabL10LK-zqad9A6hfRpx-bAwl4XgUy8_GpYacPQKE1TmXQ/viewform?usp=sf_link

Regards,
Department of Commerce & Allied Subjects.

For further details contact:
Prof Komal Tiwari-9921982489
Email id: komalt@smshettyinstitute.org



Komal Tiwari
Course Convenor



Sahana Raviprasad
Head of the Department



Bunts Sangha's
S.M.Shetty College of Science, Commerce and Management Studies,
Powai, Mumbai - 400076

NAAC Accredited 'A' Grade
IMC RBNQ Certificate of Merit 2019
ISO 21001:2018 Certified

Department of Commerce and Allied Subjects

Bunts Sangha's
S.M. Shetty College Of Science, Commerce And
Management Studies, Powai Mumbai
Permanently Affiliated to University of Mumbai

IMC RBNQ CERTIFICATE OF MERIT 2019
ISO 21001: 2018 CERTIFIED

BAF & BBI DEPARTMENT
PRESENTS
CERTIFICATE COURSE IN
**CAPITAL MARKET TO QUALIFY
INDUSTRY CERTIFICATIONS .**

COURSE START FROM :- 05 DECEMBER ONWARDS
DURATION OF THE COURSE :- 30 HOURS

OBJECTIVE OF THE COURSE : **COURSE FEES :- Rs. 1,500/-**

- To give complete understanding of Equity markets in India.
- To develop better understanding of the market regulations and risk associated with its products.
- Overall Making a student competent with the financial structure in India.
- To develop basic understanding of Mutual funds and its concept.
- Making them acquainted with financial planning approach while investing through mutual funds.
- To help students clear NISM certifications along with practical training
- To Assist students with Job opportunities

REGISTRATION LINK: <https://forms.gle/scYNf1zbAYmkzrwAA>
WHATSAPP GROUP LINK: <https://chat.whatsapp.com/Dz5To9YHiR55mMTNyyvPrek>

FOR ANY QUERIES CONTACT

PROF. KOMAL TIWARI
+91 99219 82489

PROF. SAHANA RAVIPRASAD
+91 90043 15883

Komal Tiwari
Course Convenor

Sahana Raviprasad
Head of the Department



BUNTS SANGHA'S

**S.M. SHETTY COLLEGE OF SCIENCE, COMMERCE & MANAGEMENT
STUDIES –**

Opp. Jalvayu Vihar, Hiranandani Garden, Powai, Mumbai – 400076

DEPARTMENT OF COMMERCE & ALLIED SUBJECTS

REPORT ON ORIENTATION SESSION

Event: Orientation on the Certificate Course for College Students
Topic: Certificate course on “Capital Market to Qualify Industry Certification”
Date: 27th of September, 2022
Timings: 11:00 am to 12:00 pm
Venue: Room no 709
Scope: Students of the S.M.Shetty College

Objectives: - To educate the participants about the basics of Capital Market
-To educate the participants about the Certificate Course Content

Highlights: - The Department of Commerce & Allied Subjects of the college had organized an Orientation Programme on the certificate course on “Equity & Advance Equity Research” in association with Parivartan Learning Solutions on 27th of September, 2022 at 11:00 am. Mr. Rupesh Nagda, CEO of Parivartan Learning Solutions, was the resource person. He explained the minute details of the Financial Market, the course content and its relevance. He cleared all the queries that he came across during the session.

The session was attended by 75 students of the college and students were quite participative during the session.

Session was successful as it helped students to learn the importance of the course and its relevance with respect to the present market scenario.

Komal Tiwari
Course Convenor

Sahana Raviprasad
Head of the Department



BUNTS SANGHA'S

**S.M. SHETTY COLLEGE OF SCIENCE, COMMERCE & MANAGEMENT
STUDIES –**

Opp. Jalvayu Vihar, Hiranandani Garden, Powai, Mumbai – 400076

DEPARTMENT OF COMMERCE & ALLIED SUBJECTS

FINAL REPORT OF COURSE

Event: Certificate Program in Capital Market

Duration: 30 hours

Venue: AV Room

Scope: Registered and paid Students of Undergraduate and Postgraduate Courses (All Streams) of our college

Objective: To provide an insight on understanding the Drafting of Financial Plan for Portfolio Construction, Capital Markets, Wealth Management, live trading, and Preparation of financial plan for self. Etc.

Highlights- 30 Hours Certificate Program in Capital Market was organized by our college in Collaboration with Padma Nagda. The course fee was Rs 1,500/-. The certificate course started on 15th March, 2022 and was completed on 31st March, 2022. Total 46 students of various programs registered and paid for the course and were awarded certificates after successful completion of the course. (SYBAF – 8 students, SYBBI – 6 student, FYBAF -27 student, FYBBI -4 student, FYBMS-1 Student).

Komal Tiwari
Course Convenor

Sahana Raviprasad
Head of the Department

BUNTS SANGHA'S

**S.M. SHETTY COLLEGE OF SCIENCE, COMMERCE & MANAGEMENT
STUDIES –**

Opp. Jalvayu Vihar, Hiranandani Garden, Powai, Mumbai – 400076



DEPARTMENT OF COMMERCE & ALLIED SUBJECTS

Orientation Picture





Kaliwari

Komal Tiwari
Course Convenor

Sahana Raviprasad
Head of the Department

BUNTS SANGHA'S

**S.M. SHETTY COLLEGE OF SCIENCE, COMMERCE & MANAGEMENT
STUDIES –**

Opp. Jalvayu Vihar, Hiranandani Garden, Powai, Mumbai – 400076



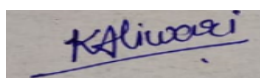
DEPARTMENT OF COMMERCE & ALLIED SUBJECTS

LIST OF PARTICIPANTS

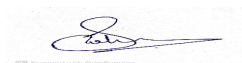
LIST OF STUDENTS REGISTERED FOR THE CERTIFICATE COURSE				
STUDENT NAME	GENDE R	STUDENT CONTACT NUMBER (WHATS APP NUMBER)	YEAR	PROGRAMME
Prajwal devadiga	Male	7738587462	SECOND YEAR	BAF
Yadav Anshika	Female	9987008431	FIRST YEAR	BAF
AVANEESH TANAWADE	Male	9892439239	FIRST YEAR	BAF
Krithik salian	Male	8591193665	FIRST YEAR	BAF
JASON MENEZES	Male	9987096952	SECOND YEAR	BAF
Diksha Poojary	Female	9930585049	SECOND YEAR	BAF
Dinesh Bendar	Male	9653130168	FIRST YEAR	BBI
Arush Jagade	Male	8591372087	FIRST YEAR	BAF
Aaren Rodrigues	Male	7304691819	FIRST YEAR	BAF
Anwit Devadiga	Male	9833853159	FIRST YEAR	BAF
Pranav Surve	Male	9653441749	FIRST YEAR	BAF
Vansh gajra	Male	8591217927	FIRST YEAR	BAF
Sonal Gautam	Female	8957083580	FIRST YEAR	BAF
Saloni Varma	Female	9137046237	FIRST YEAR	BMS
Bhoomit Shetty	Male	8450934995	FIRST YEAR	BAF
Praveen Sudalaikan Thevar	Male	8104147447	SECOND YEAR	BBI
Sheikh Belal	Male	9372403797	SECOND YEAR	BBI
Dhruv Dhoke	Male	9372839994	FIRST YEAR	BBI
Sahil Shetty	Male	9967741513	FIRST YEAR	BAF
Vaibhavi Vijay Rathod	Female	9004719614	FIRST YEAR	BAF
Sarvesh Shetty	Male	8108792251	FIRST YEAR	BBI
Vignesh Moolya	Male	8169135165	FIRST YEAR	BAF
Shaikh Imran	Male	7208745590	FIRST YEAR	BAF
Shaikh M.Sajjad M.Sadiq	Male	7304344529	SECOND YEAR	BAF

Shaman Shetty	Male	7304326846	SECOND YEAR	BBI
Sahil Pillai	Male	8779420219	SECOND YEAR	BBI
Aditya Dilip Dhara	Male	7208402612	FIRST YEAR	BAF
Kenneth Monis	Male	9082131628	FIRST YEAR	BAF
Dhanishka Manohar Pillai	Female	7208116811	FIRST YEAR	BAF
Thriпти Suvarna	Female	9324176656	SECOND YEAR	BAF
Punit shetty	Male	8169100565	FIRST YEAR	BAF
Ahmed Raza Khan	Male	8291787819	FIRST YEAR	BAF
Qazi Saddam husain nazeer husain	Male	8355983531	FIRST YEAR	BAF
Shaun Karkada	Male	8355894053	FIRST YEAR	BAF
Alan Saji	Male	7021971172	SECOND YEAR	BAF
AMIT KUMAR MAURYA	Male	8104384477	SECOND YEAR	BAF
Shivanshi Tiwari	Female	9967801174	FIRST YEAR	BBI

Pranay Prakash saswade	Male	7304771744	SECOND YEAR	BBI
Prathamesh Tiwari	Male	8433552126	SECOND YEAR	BAF
Rohit tiwari	Male	8879494532	FIRST YEAR	BAF
Gaurav Kampli	Male	7208155190	FIRST YEAR	BAF
Aayushi jain	Female	8655713231	SECOND YEAR	BBI
Adnan Siddiqui	Male	8928607042	FIRST YEAR	BAF
Daksh S Pawar	Male	9967906099	FIRST YEAR	BAF
Viraj Chavan	Male	7304316757	FIRST YEAR	BAF



Komal Tiwari
Course Convenor



Sahana Raviprasad
Head of the Department

BUNTS SANGHA'S

S.M. SHETTY COLLEGE OF SCIENCE, COMMERCE & MANAGEMENT STUDIES –

Opp. Jalvayu Vihar, Hiranandani Garden, Powai, Mumbai – 400076



DEPARTMENT OF COMMERCE & ALLIED SUBJECTS

ATTENDANCE SHEET

BUNTS SANGH'S												
S.M.SHETTY COLLEGE OF SCIENCE, COMMERCE & MANAGEMENT STUDIES, POWAI												
CERTIFICATE COURSE ON CAPITAL MARKETS 2022-23												
STUDENT NAME	YEAR	12/12/22	16/1/23	18/2/23	10/3/23	17/3/23	21/4/23	6/5/23	5/1/23	6/1/23	13/1/23	21/2/23
Prajwal devadiga	SYBAF	P	P	P	P	A	P	A	P	A	P	P
Yadav Anshika	FYBAF	P	P	P	A	P	P	P	P	A	A	P
AVANEESH TANAWADE	FYBAF	P	P	P	P	P	P	P	P	A	A	P
Krithik salian	FYBAF	P	A	P	P	A	A	A	T	P	P	A
JASON MENEZES	SYBAF	P	P	A	P	A	A	A	A	A	P	A
Diksha Poojary	SYBAF	P	P	P	P	A	P	A	P	A	P	P
Dinesh Bendar	FYBBI	P	P	P	A	A	A	P	P	A	P	P
Arush Jagade	FYBAF	P	P	A	P	A	A	A	P	A	A	P
Aaren Rodrigues	FYBAF	P	P	P	P	P	A	A	A	A	A	P
Anwit Devadiga	FYBAF	P	P	P	P	P	A	A	A	A	A	P
Pranav Surve	FYBAF	P	P	P	P	A	A	A	A	A	A	P
Vansh gajra	FYBAF	P	P	P	P	A	A	A	A	A	A	P
Sonal Gautam	FYBAF	P	P	P	P	P	A	A	A	A	A	P
Saloni Varma	FYBMS	P	P	P	P	P	A	A	A	A	A	P
Bhoomit Shetty	FYBAF	P	P	P	P	P	A	A	A	A	A	P
Praveen Sudalaikan Thevar	SYBBI	P	P	P	P	P	A	A	A	A	A	P
Sheikh Belal	SYBBI	P	P	P	P	P	A	A	P	P	P	P
Dhruv Dhoke	FYBBI	P	P	P	P	P	A	A	A	A	A	P
Sahil Shetty	FYBAF	P	P	P	P	P	A	A	A	A	A	P
Vaibhavi Vijay Rathod	FYBAF	P	P	P	P	P	A	A	A	A	A	P
Sarvesh Shetty	FYBBI	P	P	P	P	P	A	A	A	A	A	P
Vignesh Moolya	FYBAF	P	P	P	P	P	A	A	A	A	A	P
Shaikh Imran	FYBAF	P	P	P	P	P	A	A	P	P	P	P
Shaikh M.Sajjad M.Sadiq	SYBAF	P	P	P	P	P	A	A	P	P	P	P
Shaman Shetty	SYBBI	P	P	P	P	P	A	A	A	A	A	P
Sahil Pillai	SYBBI	P	P	P	P	P	A	A	A	A	A	P
Aditya Dilip Dhara	FYBAF	P	P	P	P	P	A	A	A	A	A	P
Kenneth Monis	FYBAF	P	P	P	P	P	A	A	A	A	A	P
Dhanishka Manohar Pillai	FYBAF	P	P	P	P	P	A	A	A	A	A	P

Thriпти Suvarna	SYBAF	P	P	P	P	A	P	A	P	P	P	P	P	P	P
Punit shetty	FYBAF	P	A	P	P	P	P	A	A	P	P	P	P	P	P
Ahmed Raza Khan	FYBAF	P	P	P	P	P	P	A	A	P	P	P	P	P	P
Qazi Saddam husain nazeer husain	FYBAF	P	P	P	P	P	P	A	A	P	P	P	P	P	P
Shaun Karkada	FYBAF	P	P	P	P	P	P	A	A	P	P	P	P	P	P
Alan Saji	SYBAF	P	P	P	P	P	P	A	A	P	P	P	P	P	P
AMIT KUMAR MAURYA	SYBAF	P	A	P	P	P	P	A	A	P	P	P	P	P	P
Shivanshi Tiwari	FYBBI	P	P	P	P	P	P	A	A	P	P	P	P	P	P
Pranay Prakash saswade	SYBBI	A	P	P	P	P	P	A	A	P	P	P	P	P	P
Prathamesh Tiwari	SYBAF	P	A	P	P	P	P	A	A	P	P	P	P	P	P
Rohit tiwari	FYBAF	P	P	P	P	P	P	A	A	P	P	P	P	P	P
Gaurav Kampli	FYBAF	P	P	P	P	P	P	A	A	P	P	P	P	P	P
Aayushi jain	SYBBI	P	P	P	P	P	P	A	A	P	P	P	P	P	P
Adnan Siddiqui	FYBAF	P	A	P	P	P	P	A	A	P	P	P	P	P	P

Dakshin Pawan
 Omkar Pawar
 Uiraj Chavan

BUNTS SANGH
 S.M SHETTY COLLEGE OF SCIENCE, COMMERCE & MANAGEMENT STUDIES, POWAI
 CERTIFICATE COURSE ON CAPITAL MARKETS 2022-23

SR.NO	STUDENT NAME	YEAR	5/2/23	7/2/23	8/2/23					
1	PRAJWAL DEVADIGA	SYBAF	P	P	P					
2	YADAV ANSHIKA	FYBAF	P	P	P					
3	AVANEESH TANAWADE	FYBAF	P	P	P					
4	KRITHK SALIAN	FYBAF	P	P	P					
5	JASON MENEZES	SYBAF	P	P	P					
6	DIKSHA POOJARY	SYBAF	P	P	P					
7	DINESH BENDAR	FYBAF	P	P	P					
8	ARUSH JAGADE	FYBAF	P	P	P					
9	AAREN RODRIGUES	FYBAF	P	P	P					
10	ANWIT DEVADIGA	FYBAF	P	P	P					
11	PRANAV SURVE	FYBAF	P	P	P					
12	VANSH GAJRA	FYBAF	P	P	P					
13	SONAL GAUTAM	FYBAF	P	P	P					
14	SALONI VARMA	FYBMS	P	P	P					
15	BHOOMIT SHETTY	FYBAF	P	P	P					
16	PRAVEEN SUDALAIKAN THEVAR	SYBBI	P	P	P					
17	SHEIKH BELAL	SYBBI	P	P	P					
18	DHRUV DHOKE	FYBAF	P	P	P					
19	SAHIL SHETTY	FYBAF	P	P	P					
20	VAIBHAVI VIJAY RATHOD	FYBAF	P	P	P					
21	SARVESH SHETTY	FYBAF	P	P	P					
22	VIGNESH MOOLYA	FYBAF	P	P	P					
23	SHAIKH IMRAN	FYBAF	P	P	P					
24	SHAIKH M.SAJJAD M.SADIQ	SYBAF	P	P	P					
25	SHAMAN SHETTY	SYBBI	P	P	P					
26	SAHIL PILLAI	SYBBI	P	P	P					
27	ADITYA DILIP DHARA	FYBAF	P	P	P					
28	KENNETH MONISH	FYBAF	P	P	P					
29	DHANISHKA MANOHAR PILLAI	FYBAF	P	P	P					
30	THRIPTI SUVARNA	SYBAF	P	P	P					
31	PUNIT SHETTY	FYBAF	P	P	P					
32	AHMED RAZA KHAN	FYBAF	P	P	P					
33	QAZI SADDAM HUSAIN NAZEER HUSAIN	FYBAF	P	P	P					
34	SHAUN KARKADA	FYBAF	P	P	P					
35	ALAN SAJI	SYBAF	P	P	P					
36	AMIT KUMKAR MAURYA	SYBAF	P	P	P					

37	SHIVANSHI TIWARI	FYBAF	A	A	A								
38	PRANAY PRAKASH SASWADE	SYBAF	A	A	A								
39	PRATHAMESH TIWARI	SYBAF	A	A	A								
40	ROHIT TIWARI	BYBAF	A	A	A								
41	GAURAV KAMPLI	FYBAF	A	A	A								
42	AAYUSHI JAIN	SYBBI	A	A	A								
43	ADNAN SIDDIQUI	FYBAF	A	A	A								
44	DAKSH PAWAR	FYBAF	A	A	A								
45	OMKAR PAWAR	SYBAF	A	A	A								
46	VIRAJ CHAVAN	FYBAF	A	A	A								

Kaliwari

Komal Tiwari
Course Convenor

Sahana Raviprasad

Sahana Raviprasad
Head of the Department

BUNTS SANGHA'S

**S.M. SHETTY COLLEGE OF SCIENCE, COMMERCE & MANAGEMENT
STUDIES –**

Opp. Jalvayu Vihar, Hiranandani Garden, Powai, Mumbai – 400076



DEPARTMENT OF COMMERCE & ALLIED SUBJECTS

SAMPLE QUESTION PAPER

Large Cap Fund has major allocation in which companies *

- Top 100
- Top 50
- Top 25
- Top 150

For Rs. 5 Lakhs invested in GILT fund @ 4 PM, what NAV is applicable? *

- Same Day NAV
- NAV of the business day on which the funds are available for utilization before cut-off time
- Next Day NAV

Life Insurance is meant for *

...

- Investment
- Risk Coverage
- Tax Saving
- Liquidity

The following distribution channel is not likely to have wide network for distribution *

- Banks
- IFA
- Regional Distributor
- Post Office

Appointment of AMC can be terminated by____*

- Central Government
- 75% of Unit Holders
- Trustees
- None of the options

Money markets are regulated by*

- SEBI
- RBI
- AMFI
- Central Government

Nomination is not possible in the following asset*

- Gold ETF
- Gold
- Gold Sector Fund
- Shares of Gold Mining Companies

Redemption of units in Stock Exchange can be done provided the units are held in*

- Physical Mode
- Demat Mode

What is the compound rate of return in case of an investment made at an NAV of Rs. 10.50 and redeemed at an NAV of Rs.12.50after a period of 3 years?

- 5.89%
- 5.98%
- Data Not sufficient
- None of the options

What is the Sharpe Ratio of an investment which has given a return of 6% having a Standard Deviation of 0.5 and the risk free return at 5% ?

- 2.50%
- 2.00%
- 1.50%
- 1.00%

...

Process of offering MF Schemes to the investors for the first time is known as *

- IPO
- NFO
- FPO
- ESOP

Mutual Fund holders don't have the same rights as a share holders. *

- FALSE
- TRUE



NAV represents value per unit in terms of*

- Unit Capital
- Market Value
- Cost Value



What is the NAV of a scheme which is having a market value of Rs. 5 Crores, Current Liability of Rs. 1 Crore and Outstanding units of 1 Crores?

- 5
- 4
- 6
- Data Insufficient

Mutual Funds in India are structured as *

- Companies
- Trust
- Firms
- Societies

What is the NAV of a scheme which is having stocks worth Rs. 100 Crores, Dividend receivable of Rs. 2.07 Crores, Interest Receivable of Rs. 1.36 Crores and Fees payable of Rs. 0.25 Crore and Outstanding units of 75 Lakhs? *

- 173.33
- 137.57
- 13.75
- 1375.3

⋮

If Mr. X wants to invest Rs. 75000, then Is KYC needed? *

- No
- Yes

Winning lottery is an example of *

- Wealth Distribution
- Sudden Wealth
- Wealth Reaping



Value funds are generally more suitable than Growth funds in *

- stable market
- bearish market
- bullish market
- All the given options

Generally balanced funds are more riskier than GILT fund. *

- FALSE
- TRUE



Closed ended fund has *

- Assured Dividend
- Fixed Unit Capital
- Fixed AUM
- Fixed NAV

KIM is attached with *

- Transaction slip
- Application Form
- OD
- All the given options

Mrs. XYZ invests Rs. 50,000 in equity fund, the NAV=22 and the Face value is Rs. 100. How many units will she get? *

- 500
- 2272.7
- 2800.5
- 2200

The fastest fund transfer option is *

- NEFT
- RTGS
- ECS
- SIP

The commissions received on the basis of the assets under the broker held as long as the investment is *

- Upfront
- Trail
- Fee
- Upfront Fee

Trail commissions are linked to valuation of portfolio in the market *

- FALSE
- TRUE

If fund has a capital of 75 crores, expenses of 2.5 cr, valuation losses of 2.5 cr, and 10 cr outstanding units, then what will be the nav? *

- 90
- 70
- 2.5544
- 1.36

What will be the sharpe ratio, if gilt return is 4%, portfolio return 7%, and std dev is 0.5%? *

- 9
- 6
- 1.3444
- 2.5

Trenor ratio will be, if gilt fund return is 4%, portfolio return is 7%, and beta is 0.5% *

- 9
- 6
- 3
- 1.343

For a young call centre employee who is un married, the wealth cycle phase would be *

- Distribution stage
- Accumulation
- Reaping Stage

☰

Portfolio of a middle class person for retirement planning should not be high on equity as there the risk is also high.

- TRUE
- FALSE

*

PAN Card is required for :

- First Transaction
- All Transactions
- Additional Transactions
- None of the given options

☰

*

KYC is required for :

- For amount greater than 20,000
- For any amount
- For amount greater than 50,000

"Please read the scheme related documents carefully" - which documents this line refer to ? *

- Scheme information document and audited balance sheet of the Asset Management Company
- Trust deed and Key Information Memorandum
- Statement of Additional Information and fund fact sheet
- Scheme information document and Statement of Additional Information

Standard deviation can be calculated in excel with the help of which of the following formulas? *

- STD
- STDEV
- COV
- VAR

What is the CAGR on the portfolio where the fund was purchased at 10.5% and sold at 12.5% after 3 years *

- 10.75%
- 5.98%
- 6.95%
- 8.50%

...

Which of the following is considered to be a part of institutional category ? *

- HUF
- FII
- PIO

Face Value per unit is usually *

- Rs.1000
- Rs.10
- Rs.100

Formula of calculating the future cost of Rs.25 lacs today after 5 years with inflation of 8% *

- 25 lacs / (1+0.08) ^ 5
- 25 lacs * (1+0.08) ^ 5
- 25 lacs / (1+0.08) * 5

Which amongst the following approaches where the investor invests constant amounts at regular intervals? *

- STP
- SIP
- SWP
- Switch

Which amongst following funds have higher risk ? *

- Liquid Fund
- Sector Fund
- MIP
- Income Fund

Which of the following is not a rating agency ? *

- Fitch
- ICICI
- ICRA
- CRISIL

Which amongst the following funds have lower risk ? *

- Sector Fund
- Liquid Fund
- MIP
- Income Fund

Which of the fund offers mix of different assets ? *

- Equity Funds
- Balance Fund
- Liquid Fund
- Debt Fund

Units having face value of Rs 10 issued are 1500. NAV is Rs 15 per unit and applicable exit load is 2%. Calculate redemption NAV

- 14.3
- 14.7
- 15
- 14.9

An account is treated as dormant if it is not operated for more than *

- 12 Months
- 6 months
- 24 Months
- 3 months



Fund of funds invest in *

- Equity Securities
- NAV of other funds
- Debt Securities
- None of the given options

If you buy at NAV of 10 and sell at NAV of 12.5. Calculate the simple return *

- 0.25%
- 25.00%
- 6.25%



Calculate NAV. Equity Valuation Rs 240 crore, Money Market Value Rs 8 crore, Dividend Accrued Rs 4 crore. Expenses 6 crore. Unit Capital 200 crore (Face value is Rs 10) *

- 11.9
- 12.3
- 12.4
- 13.2

NAV and re-purchase price are to be updated in the website of AMFI and the mutual fund in the case of Fund of Funds by 3 pm same Day. *

- TRUE
- FALSE

Unit-holders have proportionate right to the beneficial ownership of the assets of the scheme.

- FALSE
- TRUE

Which of the following is a physical asset ?

- Gold ETF
- Building
- MF
- FD

Software development expense can be charged to the scheme.

- TRUE
- FALSE

Distribution of investment portfolio in different assets is called asset allocation.

- FALSE
- TRUE

Beta of less than one is considered to be

- more risky
- less risky
- no difference

You desire the benefit of rupee cost advantage in which of the following?

- SWP
- SIP
- STP
- STT

☰

Which group of people are allowed to invest in Mutual funds? *

- Minor
- NRI
- HUF
- All the given options

Which fund invests in securities having maturity upto 91 days ? *

- Overnight Fund
- Corporate Bond Fund
- Liquid Fund
- Short Duration Fund

The assets of the mutual fund are held by _ *

- AMC
- Custodian
- Trustees
- Registrar

Which of the following is a truly international asset class? *

- Real Estate
- Gold
- EQUITY
- Debt

Equity markets are more predictable in the long term than the short *

- FALSE
- TRUE

The most appropriate measure of returns for a scheme in existence for several years is _____ *

- Simple Return
- CAGR
- Dividend Return
- Annualized Return

Under Debt instruments which are major risk factors ? *

- Credit Risk
- Interest rate risk
- Re-investment Risk
- All the given options

Which is not the advantage of Mutual Funds ? *

- Portfolio Diversification
- Professional management
- Liquidity
- Voting Rights

The fund that has flexibility to choose investment portfolio is known as Active Fund *

- True
- False

Which Fund can only invest into companies from ranking 251 onwards ? *

- Large Cap Fund
- Small Cap Fund
- Value Fund
- Contra Fund

===

In Mutual Fund Trust how many trustees should be independent ? *

- Two-Thirds
- One- Thirds
- All the trustees
- No Trustees

To become as Mutual Fund Distributor what is required *

- A. NISM 5A Certification
- B. AMFI Registration Number
- C. Empanelment with AMC
- D. NISM 5B Certification

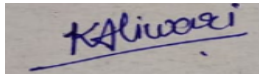
- A,B,C
- A,C
- B,C,D

The comparable for a liquid scheme is *

- Equity Scheme
- Saving Bank Account
- Balanced Scheme
- Gilt Fund

Providing funds for a daughter's marriage is an example of _____. *

- Goal-oriented Financial Plan
- Financial goal
- Comprehensive Financial Plan
- None of the above



Komal Tiwari
Course Convenor



Sahana Raviprasad
Head of the Department

BUNTS SANGHA'S

**S.M. SHETTY COLLEGE OF SCIENCE, COMMERCE & MANAGEMENT
STUDIES –**

Opp. Jalvayu Vihar, Hiranandani Garden, Powai, Mumbai – 400076



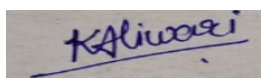
DEPARTMENT OF COMMERCE & ALLIED SUBJECTS

TEST RESULTS

Name	Total score	Department and Year
Amit Kumar Maurya	70.00 / 70	SYBAF 2023
Shaikh M.Sajjad M.Sadiq	69.00 / 70	SYBAF
Diksha Poojary	68.00 / 70	SYBAF 2022-23
Vignesh Satish moolya	68.00 / 70	Fy-baf
Shaikh Imran	67.00 / 70	FYBAF
Qazi Saddam husain nazeer husain	67.00 / 70	Fy baf first year
Vaibhavi Vijay Rathod	67.00 / 70	FYBAF 2022-23
Saloni Varma	67.00 / 70	FYBAF 2023
Shaun Karkada	66.00 / 70	FYBAF
AVANEESH ARUN TANAWADE	66.00 / 70	FYBAF
Anwit devadiga	66.00 / 70	FYBAF 2022-23
Daksh Pawar	63.00 / 70	FY BAF
PrajwalDevadiga	63.00 / 70	SybaF

Vansh Gajra	62.00 / 70	FYBAF
Thriпти Suvarna	61.00 / 70	SYBAF
Yadav Anshika	61.00 / 70	F.Y.B.A.F. 2022-2023
Kenneth Monis	60.00 / 70	FYBAF
Aaren Rodrigues	59.00 / 70	Fybaf
Aditya dhara	59.00 / 70	FYBAF
Alan Saji	58.00 / 70	SYBAF 2nd year
ADNAN SIDDIQUI	58.00 / 70	FYBAF
Aayushi Jain	57.00 / 70	Sybbi
Dhruv Purushottam Dhoke	56.00 / 70	BBI- FIRST YEAR
Shaman Shetty	56.00 / 70	Sybbi
Bhoomit Shetty	56.00 / 70	FYBAF- 2022
Sahil Pillai	54.00 / 70	SYBBI
Sahil Shetty	53.00 / 70	FYBAF
Arush jagade	51.00 / 70	Fybaf 2023
Pranav Surve	50.00 / 70	FYBAF
Sarvesh Shetty	46.00 / 70	FYBBI
Dhanishka Pillai	44.00 / 70	FYBAF
Sheikh Belal Nisar	43.00 / 70	Sybbi

Praveen Sudalaikan Thevar	43.00 / 70	Sybbi 2023
Pranay	42.00 / 70	Sybbi
Shivanshi Tiwari	41.00 / 70	FYBBI
Rohit tiwari	39.00 / 70	FYBAF
Ahmed Raza Khan	38.00 / 70	F.Y.B.A.F
Jason Menezes	36.00 / 70	BAF 2023
Prathamesh Tiwari	36.00 / 70	SYBAF
Omkar Bharat Pawar	35.00 / 70	BAF
Krithik salian	35.00 / 70	Fybaf
Punit Shetty	35.00 / 70	Fybaf
Gaurav Kampli	35.00 / 70	FYBAF
Dinesh Bendar	35.00 / 70	FYBBI
Sonal gautam	35.00 / 70	Fybaf
Viraj	35.00 / 70	Fybaf-2023



Komal Tiwari
Course Convenor



Sahana Raviprasad
Head of the Department

BUNTS SANGHA'S

S.M. SHETTY COLLEGE OF SCIENCE, COMMERCE & MANAGEMENT
STUDIES –

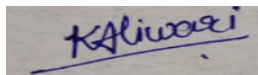
Opp. Jalvayu Vihar, Hiranandani Garden, Powai, Mumbai – 400076



DEPARTMENT OF COMMERCE & ALLIED SUBJECTS

CERTIFICATE





Komal Tiwari
Course Convenor



Sahana Raviprasad
Head of the Department

BUNTS SANGHA'S

**S.M. SHETTY COLLEGE OF SCIENCE, COMMERCE & MANAGEMENT
STUDIES –**

Opp. Jalvayu Vihar, Hiranandani Garden, Powai, Mumbai – 400076

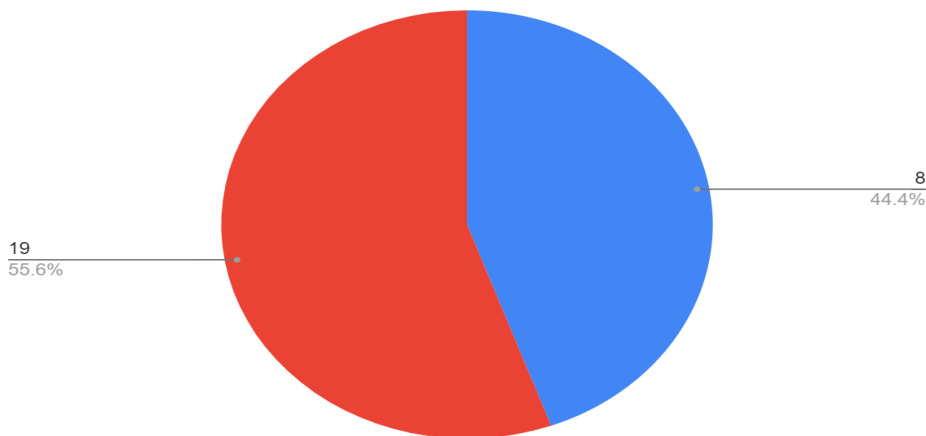


**DEPARTMENT OF COMMERCE & ALLIED SUBJECTS
FEEDBACK ANALYSIS**

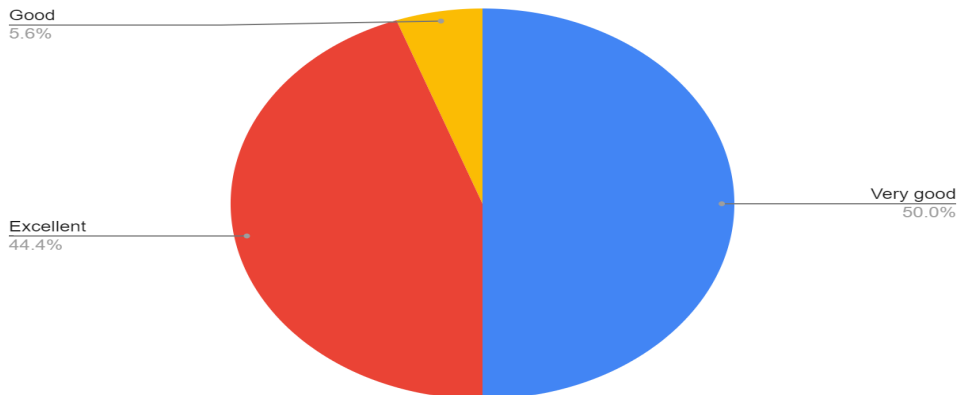
Event: Certificate Course on Capital Market

Duration: 30 Hours

How would you rate the ppt out of 5?



Count of How would you rate the overall course?



Kaliwari

Komal Tiwari
Course Convenor

Sahana Raviprasad
Head of the Department

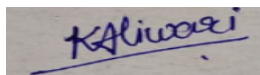
Full Name	Mention one aspect that you learnt from the 30 hour session
Devadiga prajwal	Mutual funds are subjected to market risk
Rohit panchdev tiwari	Nice session
Thriпти Umesh Suvarna	Mutual fund
Sheikh Belal Nisar	Mutual fund
Anshika yadav	About mutual fund in detail
Diksha Sathish Poojary	Mutual funds and its benefits
Alan Saji	About mutual funds and the debt market of India, also about how credit rating companies work
Omkar Bharat Pawar	Importance of Stock market and different methods of investment
Imran Shaikh	Mutual funds
Shivanshi Tiwari	To help others as much as possible
Shaun Karakada	Investing is key to success
Sarvesh JAYARAMA Shetty	Got to know more about SIP
Anwit Devadiga	Investment plans
Dhanishka pillai	Got to know about mutual fund in deep
Varma Saloni	About mutual funds and types of investment
ADNAN SIDDIQUI	MUTUAL FUND
Vansh Deepak Gajra	Information about mutual funds in detail.
Praveen Sudalaikan Thevar	Mutual fund
Aditya dhara	How to invest
Amit Kumar Krishna Kumar Maurya	Mutual fund

Krithik salian	Good
DINESH NARSAPPA BENDAR	Shares of market
AVANEESH ARUN TANAWADE	Practicals
Daksh Sachin Pawar	How the mutual fund process works
Dhruv Purushottam Dhoke	How to invest in SIP
Qazi Saddam husain nazeer husain	Mutual fund
Vaibhavi Vijay Rathod	Mutual funds

Full Name	Did you find the Certified Mutual Fund Workshop useful for you
Devadiga prajwal	5
Rohit panchdev tiwari	10
Thriпти Umesh Suvarna	7
Sheikh Belal Nisar	8
Anshika yadav	10
Diksha Sathish Poojary	9
Alan Saji	7
Omkar Bharat Pawar	10
Imran Shaikh	8
Shivanshi Tiwari	10
Shaun Karakada	10
Sarvesh JAYARAMA Shetty	10

Anwit Devadiga	10
Dhanishka pillai	8
Varma Saloni	9
ADNAN SIDDIQUI	10
Vansh Deepak Gajra	8
Praveen Sudalaikan Thevar	6
Aditya dhara	5
Amit Kumar Krishna Kumar Maurya	10
Krithik salian	5
DINESH NARSAPPA BENDAR	9
AVANEESH ARUN TANAWADE	7
Daksh Sachin Pawar	10
Dhruv Purushottam Dhoke	6
Qazi Saddam husain nazeer husain	10
Vaibhavi Vijay Rathod	9

Feedback received from the participants on all the parameters was positive and encouraging. Clearly participants felt that they gained a good knowledge after attending the course.



Komal Tiwari
Course Convenor



Sahana Raviprasad
Head of the Department

BUNTS SANGHA'S
S.M. SHETTY COLLEGE OF SCIENCE, COMMERCE & MANAGEMENT
STUDIES –

Opp. Jalvayu Vihar, Hiranandani Garden, Powai, Mumbai – 400076



DEPARTMENT OF COMMERCE & ALLIED SUBJECTS

ACTION TAKEN REPORT

Action taken by Department of Commerce and Management Studies for the feedback provided on Certificate Course in Capital Market

Date	Feedback Received	Action Taken
15th March to 31st March, 2023	<ul style="list-style-type: none">• Participants found the session very relevant and helpful. Information regarding Financial Plan Construction was very informative and precisely explained.• Participants appreciated the efforts taken by the college to conduct this event.	The Department of Commerce and Management Studies will conduct more such events in future.

Komal Tiwari
Course Convenor

Sahana Raviprasad
Head of the Department