

AC- 04 /05/2024

Item No- 4.8



**Rayat Shikshan Sanstha's
KARMAVEER BHURAO PATIL COLLEGE, VASHI.
NAVI MUMBAI
(EMPOWERED AUTONOMOUS COLLEGE)
Sector-15- A, Vashi, Navi Mumbai - 400 703**

Syllabus for S.Y.B.Com. Banking & Insurance

Program: B.Com. Banking & Insurance (Honours)

Course: S.Y.B.Com. Banking & Insurance

**(Choice Based Credit, Grading and Semester
System with effect from the academic year
2024-25)**

**Rayat Shikshan Sanstha's
Karmaveer Bhaurao Patil College, Vashi.
(Autonomous College)**



Syllabus

Sr. No.	Heading	Particulars
1	Class	S.Y.B.Com.(Banking & Insurance)
2	Eligibility for Admission	F.Y.B.Com.(Banking & Insurance)
3	Title of the Course	S.Y.B.Com.(Banking & Insurance)
4	Passing Marks	Minimum 24 in Semester Exam and 16 in CIA
5	Syllabus and Evaluation	60 Marks for Semester Exam + 40 Marks Continuous Internal Evaluation
6	No. of Years / Semesters	02
7	Level	P.G./ U.G./ Diploma / Certificate (Strike out which is not applicable)
8	Pattern	Yearly / Semester (Strike out which is not applicable)
9	Status	New / Revised (Strike out which is not applicable)
10	To be implemented from Academic Year	From Academic Year 2024-25

Date:

Signature:

Name of BOS Chairperson/Dean: _____

PROGRAMME OUTCOMES OF UNDER GRADUATE PROGRAMME

Commerce graduate will able to:

1. **Disciplinary Knowledge:** Built conceptual foundation and application skills in the area of accountancy, finance, management, research Marketing, Human Resource Management, Business and Corporate Law, economics, Finance, Accounting, Management, Tax, Investment, Insurance, and Banking seeking youth fit for Employment.
2. **Communication Skills:** Communicate Long Standing Unsolved problems in commerce; and to show the importance of commerce in Socio- Economic Development.
3. **Critical Thinking:** Apply the analytical and decision-making skills to various problems appearing in different branches of Commerce and Business.
4. **Problem Solving:** Detect the problem originating in the diverse management areas such as Finance, Marketing, Human Resource, and Taxation; examine the problem, analyse and synthesize data and derive inferences to comprehend solutions to the problems.
5. **Research related Skills:** Identify, formulate and analyse socio-economic and environmental problems to arrive at substantiated conclusions for sustainable development using the fundamental principles of various branches of Commerce and Business.
6. **Digital literacy:** Use various technical ICT tools for exploring, analysing and using the information for business purposes for global competency.
7. **Analytical reasoning:** Develop disciplinary knowledge and tactical depthness, with a broader skill set and encourage them to seek out resolute, innovative solutions for dynamic business.

8. **Moral & Ethical Awareness:** Ascertain Unethical Behaviour, falsification, and manipulation of information in business and managing self and various social systems.
9. **Lifelong Learning:** Demonstrate knowledge and understanding of management principles and apply these to one's own profession / career. Capability to work independently in diverse projects and ensure detailed study of various facets Commerce and Business.
10. **Leadership & Teamwork:** Work effectively with groups and individuals and take lead in implementation of plans in various fields of commerce and its allied sectors.
11. **Environment and Sustainability:** Understand the impact of the professional accounting solutions in societal and environmental contexts, and demonstrate the knowledge of and need for sustainable development.

Programme Outcomes:

- To provide in-depth knowledge of different aspects of Banking and Insurance and other financial services
- To train and equip the students with the professional communication skills and professional discipline with which modern banking and insurance is run
- To provide a user perspective on the role of accounting and financial reporting in capturing and conveying economic information about an organisation
- To develop an understanding of the changing domestic and global investment scenario in general and Indian capital market in particular with reference to availability of various financial products and operations of stock exchanges

Programme Specific Outcomes:

On completion of this course students will be able to:

- Explain, evaluate and interpret the terminology and concepts related to banking, Insurance and Finance.
- Apply fundamental accounting knowledge to analyse and interpret relevant accounting & financial statements.
- Develop management and analytic skills to work with various financial tools, regulatory agencies and global financial markets.
- Analyze financial instruments and investor behavior using standard financial ratios of liquidity, activity, debt, profitability.

B.Com. (Banking & Insurance) Programme
Choice Based Credit, Grading and Semester System
Course Structure
S.Y.B.Com. (Banking & Insurance)
(To be implemented from Academic Year 2024-25)

Course Type	Course Code	Course Title	Weekly Lecture (Hrs.)	Credits	CIE	SEE	Total
Major	BI201	Financial Markets	4	4	40	60	100
	BI202	Strategic Financial Management	4	4	40	60	100
Minor	BI203	Wealth Management	4	4	40	60	100
OE	BI204	Business Ethics	2	2	20	30	50
VSC	BI205	Information Technology in Banking & Insurance	2	2	20	30	50
AEC	BI206	Introduction to Cyber Security & Cyber Law	2	2	20	30	50
CC	BI207	Community Engagement Programme/ Research Project	4	4	40	60	100
Total			22	22	220	330	550
Major	BI251	Corporate & Securities Law	4	4	40	60	100
	BI252	Risk Management	4	4	40	60	100
Minor	BI253	Risk Management	4	4	40	60	100
OE	BI254	Human Resource Management	2	2	20	30	50
SEC	BI255	Customer Relationship Management	2	2	20	30	50
AEC	BI256	Professional Communication	2	2	20	30	50
CC	BI257	Field Project/ Research Project	4	4	40	60	100
Total			22	22	220	330	550

B.Com. (Banking & Insurance) Honours

Course Structure

S.Y.B.Com. (Banking & Insurance)

(To be implemented from Academic Year 2024-2025)

No. of Courses	Semester III	Credits	No. of Courses	Semester IV	Credits
1	Major Courses (MC)		1	Major Courses (MC)	
BI201	Financial Market (Equity, Debt, Forex & Derivatives)	04	BI251	Corporate and Securities Law	04
BI202	Strategic Financial Management	04	BI252	Risk Management	04
2	Minor Courses (MC)		2	Minor Courses (MC)	
BI203	Wealth Management	04	BI253	Risk Management	04
3	Open Electives (OE)		3	Open Electives (OE)	
BI204	Business Ethics	02	BI254	Human Resource Management	02
4	Vocational Skill Courses (VSC)		4	Skill Enhancement Courses (SEC)	
BI205	Information Technology in Banking & Insurance	02	BI255	Customer Relationship Management	02
5	Ability Enhancement Courses (AEC)		5	Ability Enhancement Courses (AEC)	
BI206	Introduction to Cyber Security and Cyber Law	02	BI256	Professional Communication	02
6	Co-Curricular Courses (CC)		6	CO-Curricular Courses (CC)	
BI207	Community Engagement Programme / Research Project	04	BI257	Field Project/ Research Project	04
Total Credits		22	Total Credits		22

SYBBI Syllabus					
Semester III					
Sr. No.	Course Code	Course Title	Module/ Topics	Credit	L/Week
1	BI201	Financial Market (Equity, Debt, Forex, and Derivatives)	Indian Financial System (Role of Government in financial development)	4	4
			Financial Markets in India		
			Commodity Market		
			Derivatives Market		
2	BI202	Strategic Financial Management	Investment Decisions: Capital Budgeting	4	4
			Financial Decisions		
			Working Capital Management		
			Management of Components of Working Capital		
3	BI203	Wealth Management	Introduction to Wealth Management	4	4
			Wealth Management Strategy		
			Financial Planning & Financial Mathematics		
			Retirement & Estate Planning		
4	BI204	Business Ethics	Introduction to Business Ethics	2	2
			Application of Ethical Theories in Business		
5	BI205	Information Technology in Banking & Insurance	MS-Office: Packages for Institutional Automation	2	2
			Application of MS-Office in Banking & Insurance Sector		
6	BI206	Introduction to Cyber Security and Cyber Law	Introduction to Cyber Security	2	2
			Cyber Security and Cyber Law		

7	BI207	Community Engagement Project/ Research Project	Community Engagement Project / Research Project	4	4
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**Syllabus of Courses of B.Com. (Banking & Insurance)
Programme at Semester III**

with Effect from the Academic Year 2024-25

**Major Courses
BI201. Financial Markets**

Modules at a Glance

Sr. No.	Modules	No. of Lectures
1	Indian Financial System	15
2	Financial Markets in India	15
3	Commodity Market	15
4	Derivatives Market	15
Total		60

Course Outcomes:

Course Code	Course Title	Learning Outcomes
BI201	Financial Market (Equity, Debt, Forex, and Derivatives)	The learner will be able to - 1. Understand the structure of the financial system. [1]* 2. Explain the phases of the Indian Financial system. [2]* 3. Determine the factors driving growth of derivatives market. [5]* 4. Analyse Phases of Indian financial system since independence. [4]* 5. Distinguish between Banking & Non-Banking Financial Institutions, Organized and Unorganized Financial Markets. [5]*

***Note: [1]: Remembering, [2]: Understanding, [3]: Applying [4]: Analysing, [5]: Evaluating, [6]: Creating**

Sr. No.	Modules / Units
1	Indian Financial System
	<p>A) Introduction, Meaning, Functions of financial system, Indian financial system from financial neutrality to financial activism and from financial volatility to financial stability, Role of Government in financial development, Overview of Phases of Indian financial system since independence (State Domination – 1947-1990, Financial sector reforms 1991 till Financial Sector Legislative Reforms Commission 2013), Monitoring framework for financial conglomerates.</p> <p>B) Structure of Indian Financial System – Banking & Non-Banking Financial Institutions, Organized and Unorganized Financial Markets, Financial Assets/Instruments, Fund based & Fee Based Financial Services</p>
2	Financial Markets in India
	<p>A) Indian Money Market – Meaning, Features, Functions, Importance, Defects, Participants, Components of Organized and Unorganized markets and Reforms</p> <p>B) Indian Capital Market - Meaning, Features, Functions, Importance, Participants, Instruments, Reforms in Primary and Secondary Market.</p> <p>C) Indian Stock Market - Meaning and functions of Stock Exchange- NSE and BSE.</p> <p>D) Equity Market – Primary Market, IPO, Book Building, Role of Merchant Bankers, ASBA, Green Shoe Option, and Issue of Bonus shares, Right Shares, Sweat Equity shares, ESOP.</p> <p>E) Indian Debt Market –Market Instruments, Listing, Primary and Secondary Segments</p> <ul style="list-style-type: none"> • *Funding Opportunities : Crowd Funding – Meaning and Concept & Islamic Funds
3	Commodity Market
	<ul style="list-style-type: none"> • Introduction to commodities market - Meaning History & origin, Types of commodities traded, • Structure of commodities market in India, • Participants in commodities market, Trading in commodities in India (cash & derivative segment), • Commodity exchanges in India & abroad • Reasons for investing in commodities.

Sr. No.	Modules/ Units
4	Derivatives Market
	<ul style="list-style-type: none">• Introduction to Derivatives market- Meaning, History origin,• Elements of a derivative contract,• Factors driving growth of derivatives market,• Types of derivatives, Types of underlying assets, Participants in derivatives market, Advantages & disadvantages of trading in derivatives market,• Current volumes of derivative trade in India,• Difference between Forwards Futures

**Syllabus of Courses of B.Com. (Banking & Insurance)
Programme at Semester III
with Effect from the Academic Year 2024-25**

**Major Courses (MC)
BI202. Strategic Financial Management**

Modules at a Glance

Sr. No.	Modules	No. of Lectures
1	Investment Decisions: Capital Budgeting	15
2	Financial Decisions	15
3	Working Capital Management	15
4	Management of Components of Working Capital	15
Total		60

Course Outcomes:

Course Code	Course Title	Learning Outcomes
BI202	Strategic Financial Management	<p>The learner will be able to -</p> <ol style="list-style-type: none"> 1. Recall & Describe the meaning of Strategic Financial Management.[1]* 2. Understand basic principles of measuring projected cash flow.[2]* 3. Calculate weighted average cost of capital using book value and market value methods..[3]* 4. Apply Walters model and Gordon Model to calculate market price .[3]* 5. Estimate working capital requirement of a company.[4]* 6. Evaluate credit policies, credit terms and collection policies of company.[5]*

***Note: [1]: Remembering, [2]: Understanding, [3]: Applying [4]: Analysing, [5]: Evaluating, [6]: Creating**

Sr. No.	Modules/Units
1	Investment Decisions: Capital Budgeting
	<p>A) Capital Budgeting</p> <ul style="list-style-type: none"> • Nature of Capital Budgeting • Purpose of Capital Budgeting • Capital Budgeting Process • Types of Capital Investment • Basic Principle of Measuring Project Cash Flows • Increment Principle, Long Term Funds Principle, Exclusion of Financial Cost Principle, Post Tax Principle • Probability technique for measurement of cash flow • Capital Budgeting Techniques: Net Present Value Profitability Index and Discounted Pay Back Method. • Internal Rate of Return* • A Comparison; Project Selection Under Capital Rationing (Note: Problems on computation of cash flow, ranking of projects on various techniques, selection and analysis with/ without capital rationing)
2	Financial Decisions
	<p>A) Cost of Capital:</p> <ul style="list-style-type: none"> • Introduction and Definition of Cost of Capital • Measurement of Cost of Capital • Measurement of WACC using book value and market value method. • Measuring Marginal Cost of Capital <p>B) Capital Structure Decisions:</p> <ul style="list-style-type: none"> • Meaning and Choice of Capital Structure • Importance of Optimal Capital Structure • EBIT –EPS Analysis • Capital Structure Theories • Dividend Policies (Walter & Gordon)
3	Working Capital Management
	<ul style="list-style-type: none"> • Management of Working Capital in India • Estimating working capital needs • Operating or working capital cycle • Working Capital Financing: Trade Credit; Bank Credit; Commercial Papers; Certificate of Deposits (CDs); Financing.

4	Management of Components of Working Capital
	<ul style="list-style-type: none">• Management of Cash and Marketable Securities: Motives for Holding Cash; Objectives of Cash Management; Factors Determining Cash Needs; Basic Strategies of Cash Management; Cash Management Techniques / Processes; Marketable Securities; and Cash Management Practices in India.• Receivables Management: Objectives; Credit Policies; Credit Terms; and Collection Policies.• Inventory Management: Objectives; and Techniques.

Note: Relevant Law/Statute/Rules in force and relevant Accounting Standards in force on 1st April immediately preceding commencement of Academic Year is applicable for ensuing examination after relevant year.

**Syllabus of Courses of B.Com. (Banking & Insurance)
Programme at Semester III**

with Effect from the Academic Year 2024-25

Minor Courses (MC)

BI203.Wealth Management

Modules at a Glance

Sr. No.	Modules	No. of Lectures
1	Introduction to Wealth Management	15
2	Wealth Management Strategy	15
3	Financial Planning & Financial Mathematics	15
4	Retirement & Estate Planning	15
Total		60

Course Outcomes:

Course Code	Course Title	Learning Outcomes
BI203	Wealth Management	The learner will be able to - 1. Recall & describe the meaning of Wealth & Financial Literacy.[1]* 2. Understand objectives of Savings and Investment Alternatives.[2]* 3. Apply Wealth Management Strategies for investment planning.[3]* 4. Calculate Tax Liability of an Individual .[4]* 5. Evaluate Life Cycle Planning with diagrammatical presentation.[5]*

***Note: [1]: Remembering, [2]: Understanding, [3]: Applying [4]: Analysing, [5]: Evaluating, [6]: Creating**

Sr. No.	Modules/ Units
1	Introduction to Wealth Management
	<p>A) Overview</p> <ul style="list-style-type: none"> • Define Wealth, Meaning & Scope of Wealth Management • Wealth cycle • Wealth Management Process • Introduction to Financial literacy. <p>B) Savings and Investments</p> <ul style="list-style-type: none"> • Introduction, Nature and Scope of Saving Investments • Objectives of Saving and Investment (Tax Saving, Income and Growth of Capital), • Investment Alternatives • Investment Attributes • Approaches to investment decision making • Qualities for successful investment • Alternatives to Investment decision – Direct & Indirect
2	Wealth Management Strategy
	<p>A) Wealth Management Strategy</p> <ul style="list-style-type: none"> • Meaning & scope of wealth management strategy • The unwealthy habits • Philosophy of wealth creation & management • Need for planning <p>B) Investment planning:</p> <ul style="list-style-type: none"> • Types of investment risk • Risk profiling of investors & asset allocation (life cycle model) • Asset allocation strategies(strategic, tactical, life- cycle based) • Goal-based financial planning • Active & passive investment strategies
3	Financial Planning & Financial Mathematics
	<p>A) Financial Planning</p> <ul style="list-style-type: none"> • Introduction • Role of Financial planner • Process of financial planning • Cash flow analysis • Financial Planning in India • Financial Blood Test Report <p>B) Financial Mathematics:</p> <ul style="list-style-type: none"> • Calculation of returns (CAGR, Post-tax returns, etc.) • Calculation of Total assets • Net worth calculations

4	Retirement & Estate Planning
	<p>A) Retirement Planning</p> <ul style="list-style-type: none"> • Meaning & Objectives of Retirement planning • Gifts & Trust, Charity planning • Avoidable mistakes in retirement planning • Power of attorney for asset management, <p>B) Estate planning</p> <ul style="list-style-type: none"> • Meaning & scope • Need for Estate planning • Tools for Estate planning • Considerations for personal property and collectibles <p>C) Insurance Planning :</p> <ul style="list-style-type: none"> • Meaning • Basic principles of insurance • Functions and Characteristics of Insurance • Rights and responsibilities of Insurer and Insured • Types of life insurance policies • Types of general insurance policies <p>Health insurance – mediclaim – Calculation of Human Life Value / Belth Method CPT</p>

**Syllabus of Courses of B.Com. (Banking & Insurance)
Programme at Semester III**

with Effect from the Academic Year 2024-25

**Open Electives (OE)
BI204. Business Ethics**

Modules at a Glance

Sr. No.	Modules	No. of Lectures
1	Introduction to Business Ethics	15
2	Application of Ethical Theories in Business	15
Total		30

Course Outcomes:

Course Code	Course Title	Learning Outcomes
BI204	Business Ethics	The learner will be able to – 1. Understand the concepts of ethics. [1]* 2. Understand the importance of ethics in the day to day working of organisation. [2]* 3. Apply ethical decision making to understand fair and unfair Business Practices [3]* 4. Analyse Ethical Issues in Functional areas of business. [4]* 5. Suggest best practice to promote workplace ethics. [5]*

***Note: [1]: Remembering, [2]: Understanding, [3]: Applying [4]: Analysing, [5]:Evaluating, [6]: Creating**

Sr. No.	Modules / Units
1	Introduction to Business Ethics
	Definition, Meaning, Nature of Ethics, Meaning of Moral & Ethics. Types of Ethics, Importance of Ethics, Business Ethics -Meaning and Nature. Importance of Ethics in Business, Areas of Business Ethics, Meaning of Functional Ethics, Types of Ethics According to Functions of Business- Marketing Ethics, Foreign Trade Ethics and Ethics Relating to Copyright. Ethics relating to Free and Perfect Competitive Market.
2	Application of Ethical Theories in Business
	Ethical Decision Making: Decision Making (Normal Dilemmas And Problems): (I) Utilitarianism (J. Bentham And J.S. Mill), (Ii) Deontology (I. Kant) Virtue Ethics (Aristotle). Gandhain Approach In Management And Trusteeship, Importance And Relevance of Trusteeship Principle in Modern Business. Ethical Issues in Functional Areas of Business. Ethics in Advertising (Truth In Advertising). Ethical Issues in Finance,

**Syllabus of Courses of B.Com. (Banking & Insurance)
Programme at Semester III
with Effect from the Academic Year 2024-25**

***Vocational Skill Courses (VSC)*
BI205. Information Technology in
Banking & Insurance**

Modules at a Glance

Sr. No.	Modules	No. of Lectures
1	MS-Office: Packages for Institutional Automation	15
2	Application of MS-Office in Banking & Insurance Sector	15
Total		30

Course Outcomes:

Course Code	Course Title	Learning Outcomes
BI205	Information Technology in Banking & Insurance	<p>The learner will be able to-</p> <ol style="list-style-type: none"> 1. Describe the different application of Internet.[1]* 2. Understand the Application of MS-Office in Banking & Insurance Sector [2]* 3. Perform basic editing functions, formatting skills and create and produce mail merge.[3]* 4. Analyze formatting techniques and presentation styles.[4]* 5. Evaluate utility of functions and formulas on Excel Spreadsheets. [5]* 6. Create slide presentations that include text, graphics animation & transitions. [6]*

***Note: [1]: Remembering, [2]: Understanding, [3]: Applying [4]: Analysing, [5]:Evaluating, [6]: Creating**

Sr. No.	Modules /Units
1	<p data-bbox="358 264 1052 296">MS-Office: Packages for Institutional Automation:</p> <p data-bbox="404 323 1385 411">A) MS-Word: Usage of smart art tools, bookmark, cross-reference, hyperlink, mail merge utility and converting word as PDF files.</p> <p data-bbox="404 432 1385 793">B) MS-Excel: Manipulating data, working with charts, Working with PIVOT table and what-if analysis; Advanced excel functions-VLOOKUP (), HLOOKUP (), PV (), FV (), goal seek (), AVERAGE (), MIN (), MAX (), COUNT (), COUNTA (), ROUND (), INT (), nested functions, name, cells/ranges/constants, relative, absolute &mixed cell references, >, <, = operators, Logical functions using if, and, or =, not, date and time functions & annotating formulae.</p> <p data-bbox="404 825 1385 1077">C) MS-PowerPoint presentation: Internal links between slides, hyperlinks, embedding multimedia content onto the slides (video/audio/stylish text), slide animation, timer, creating new presentation by existing theme, import online themes, creating a template of presentation, save and run the slide show(.ppsx)</p>
2	<p data-bbox="358 1104 1133 1136">Application of MS-Office in Banking & Insurance Sector</p> <ul data-bbox="404 1178 1385 1770" style="list-style-type: none"> <li data-bbox="404 1178 1385 1381">• Application of MS-Excel in Banking and Insurance Sector – Calculation of Interest, Calculation of Installment, Calculation of Cash Flow, Calculation of Premium, Calculation of risk coverage in Insurance and Reporting. <li data-bbox="404 1413 1385 1770">• Applications of Internet: Introduction to e-mail, writing professional emails, creating digitally signed documents, use of outlook express: configuring outlook express, creating and managing profile in outlook, sending and receiving e-mails via outlook express, Emailing the merged documents, boomerang facility of email, Google drive: usage of Google drive in storing the Google documents, excel sheets, presentations and PDF files.

**Syllabus of Courses of B.Com. (Banking & Insurance)
Programme at Semester III
with Effect from the Academic Year 2024-25**

Vocational Skill Courses (VSC)
BI206. Introduction to Cyber Security and Cyber Law

Modules at a Glance

Sr. No.	Modules	No. of Lectures
1	Introduction to Cyber security	15
2	Cyber Security and Cyber Law	15
Total		30

Course Outcomes:

Course Code	Course Title	Learning Outcomes
BI206	Cyber Security	<p>The learner will be able to-</p> <ol style="list-style-type: none"> 1. Describe the concept of Cyber security and issues and challenges associated with it.. [1]* 2. Understand the cyber crimes, their nature, legal remedies and as to how report the crimes through available platforms and procedures. [2]* 3. Determine the need for cyber laws in India.[3]* 4. Analyze and investigate cyber security events and crimes related to computer systems and digital evidence.[4]* 5. Evaluate cyber crimes in India. [5]*

***Note: [1]: Remembering, [2]: Understanding, [3]: Applying [4]: Analysing, [5]:Evaluating, [6]: Creating**

Sr. No.	Modules /Units
1	Introduction to Cyber security
	Defining Cyberspace and Overview of Computer and Web-technology, Architecture of cyberspace, Communication and web technology, Internet, World wide web, Advent of internet, Internet infrastructure for data transfer and governance, Internet society, Regulation of cyberspace, Concept of cyber security, Issues and challenges of cyber security
2	Cyber Security and Cyber Law
	<p>A. Need of Cyber Law, History of Cyber Law in India</p> <p>B. Cyber Crimes : Various threats and attacks, Phishing, Key Loggers, Identity Theft, Call & SMS forging, e-mail related crimes, Denial of Service Attacks, Hacking, Online Shopping Frauds, Credit Card Frauds, Cyber Stalking</p> <p>C. Cyber Security: Computer Security, E-Security, Password Security and Reporting Internet Fraud</p>

SYBBI Syllabus

Semester IV

Sr. No.	Course Code	Course Title	Module/ Topics	Credit	L/Week
1	BI251	Corporate & Securities Law	Company Law – An Overview Regulatory Framework Governing Stock Exchanges as per Securities Contracts Regulation Act 1956 Security Exchange Board of India The Depositories Act, 1996	4	4
2	BI252	Risk Management	Foundations of Risk Management Capital Market Risk Management Credit Market Risk Management Risk Measurement	4	4
3	BI253	Risk Management	Foundations of Risk Management Capital Market Risk Management Credit Market Risk Management Risk Measurement	4	4
4	BI254	Human Resource Management	Framework of Human Resource Management Functions of Human Resource Management	2	2

5	BI255	Customer Relationship Management	Introduction to Customer Relationship Management (CRM)	2	2
			Customer Relationship Management (CRM) in Banking and Insurance Sector		
6	BI256	Professional Communication	Effective Presentation	2	2
			Formal Communication		
7	BI257	Field Project/ Research Project	Field Project/ Research Project	4	4

Syllabus of Courses of B.Com. (Banking & Insurance)

**Programme at Semester IV
with Effect from the Academic Year 2024-25**

**Major Courses (MC)
BI251. Corporate and Securities Law**

Modules at a Glance

Sr. No.	Modules	No. of Lectures
1	Company Law – An Overview	15
2	Regulatory Framework Governing Stock Exchanges as per Securities Contracts Regulation Act 1956	15
3	Security Exchange Board of India	15
4	The Depositories Act, 1996	15
Total		60

Course Outcomes:

Course Code	Course Title	Learning Outcomes
BI251	Corporate and Securities Law	The learner will be able to - <ol style="list-style-type: none"> 1. Describe doctrines governing corporates and application of Company Law to Banking and Insurance. [1]* 2. Summarize Regulatory framework governing Stock Exchanges as per Securities Contract Regulation Act, 1956.[2]* 3. Solve case studies based on SEBI guidelines.[3]* 4. Analyse Bye Laws of Depository. [4]*

***Note: [1]: Remembering, [2]: Understanding, [3]: Applying [4]: Analysing, [5]: Evaluating, [6]: Creating**

Sr. No.	Modules /Units
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1	Company Law – An Overview
	<p>A) Development of Company Law in India</p> <p>B) Doctrines Governing Corporates – Lifting the Corporate Veil, Doctrine of Ultra Vires, Constructive Notice, Indoor Management, Alter Ego. The Principle of Non Interference (Rule in Foss V/s Harbottle) – Meaning , Advantages , Disadvantages & Exceptions, Majority and Minority Rights under Companies Act</p> <p>B) Application of Company Law to Banking and Insurance Sector Application of Companies Act to Banking and Insurance sector governed by Special Acts. S.1(4) of Companies Act2013 Exceptions provided S.67(3), S.73(1), S.129(1), 179(3), S.180(1)(c), S.186, S.189</p>
2	Regulatory Framework governing Stock Exchanges as per Securities Contracts Regulation Act 1956
	<ul style="list-style-type: none"> • Definition of Securities, Spot Delivery Contract, Ready Delivery Contract, Stock Exchange. • Corporatisation and demutualisation of Stock Exchange– Meaning, Procedure &Withdrawal • Power of Recognised Stock Exchange to make rules restricting voting rights etc. • Power of Central Government to Direct Rules or Make rules • Power of SEBI to make or amend bye laws of recognized stock exchange • Books and Accounts to be maintained by recognized stock exchange • Grounds on which stock exchange can delist the securities of a company. Section 3 to Section 20
Sr. No.	Modules /Units

3	Security Exchange Board Of India
	<p>A) SEBI: Objectives-terms-establishment-powers-functions-accounts and audit-penalties-registration.</p> <p>B) Issues of Disclosure Investors Protection Guidelines: Pre & Post obligations-conditions for issue -Debt Security-IPO-E-IPO-Employee option-right-bonus-preferentialallotmentintermediary-operational-promoter lock in period requirements-offer document.</p>
4	The Depositories Act, 1996
	<ul style="list-style-type: none"> • Depository – Meaning, Benefits, Models, Functions Participants • The Depository Act 1996 – Objectives, Eligibility condition for depository services, Fungibility, Bye laws of depository, Governance of Depository and Internal audit of depository Participants • BSDA and single registration for depository participants.

Note: Relevant Law/Statute/Rules in force in force on 1st April immediately preceding commencement of Academic Year is applicable for ensuing examination after relevant year.

Syllabus of Courses of B.Com. (Banking & Insurance) Honours

**Programme at Semester IV
with Effect from the Academic Year 2024-25**

**Major Courses (MC)
BI252. Risk Management**

Modules at a Glance

Sr. No.	Modules	No. of Lectures
1	Foundations of Risk Management	15
2	Capital Market Risk Management	15
3	Credit Market Risk Management	15
4	Risk Measurement	15
Total		60

Course Outcomes:

Course Code	Course Title	Learning Outcomes
BI252	Risk Management	The learner will be able to – <ol style="list-style-type: none">1. Describe the types of risks and Enterprise Risk Management.[1]*2. Understand Credit Lifecycle and Procedure for Credit Risk Management,[2]*3. Explain loan review mechanism and RBI guidelines on credit rating framework in banks. [3]*4. Calculate Risk-adjusted return on capital (RAROC) & beta.[4]*5. Evaluate Interest rates and measures of interest rate sensitivity.[5]*

Note: [1]: Remembering, [2]: Understanding, [3]: Applying [4]: Analysing, [5]: Evaluating, [6]: Creating

Sr. No.	Modules / Units
1	Foundations of Risk Management
	<ul style="list-style-type: none"> • Basic risk types • The role of risk management • Enterprise Risk Management(ERM) • History of financial disasters and risk management failures • 2007 financial crisis
2	Capital Market Risk Management
	<ul style="list-style-type: none"> • Equity, currencies & commodities markets in India • Introduction to Derivatives • Forward, Future and option contracts • Hedging through Derivatives contract • Fixed-income securities • Fixed-income risk management through derivatives • Rating agencies
3	Credit Market Risk Management
	<ul style="list-style-type: none"> • Introduction, • Information required for evaluation of credit risk, • Procedure for Credit Risk Management, • Credit Lifecycle, • Loan Review Mechanism, • RBI guidelines on Credit Rating Framework in Banks, • Introduction of Basel Norms and calculation of capital adequacy ratio
4	Risk Measurement
	<ul style="list-style-type: none"> • Estimation of volatilities and correlations (application to volatility term structures) Monte Carlo simulations (application to interest rate forecasting) • Linear Value-at-Risk(application to market, credit and operational risk) • Option valuation • Risk-adjusted return on capital (RAROC) & beta calculation • Risk management of derivatives (application to convertible risk) • Interest rates and measures of interest rate sensitivity

**Syllabus of Courses of B.Com. (Banking & Insurance) Honours
Programme at Semester IV
with Effect from the Academic Year 2024-25**

**Minor Courses (MC)
BI253. Risk Management**

Modules at a Glance

Sr. No.	Modules	No. of Lectures
1	Foundations of Risk Management	15
2	Capital Market Risk Management	15
3	Credit Market Risk Management	15
4	Risk Measurement	15
Total		60

Course Outcomes:

Course Code	Course Title	Learning Outcomes
BI253	Risk Management	<p>The learner will be able to –</p> <ol style="list-style-type: none"> 6. Describe the types of risks and Enterprise Risk Management.[1]* 7. Understand Credit Lifecycle and Procedure for Credit Risk Management,[2]* 8. Explain loan review mechanism and RBI guidelines on credit rating framework in banks. [3]* 9. Calculate Risk-adjusted return on capital (RAROC) & beta.[4]* 10. Evaluate Interest rates and measures of interest rate sensitivity.[5]*

Note: [1]: Remembering, [2]: Understanding, [3]: Applying [4]: Analysing, [5]: Evaluating, [6]: Creating

Sr. No.	Modules / Units
1	Foundations of Risk Management
	<ul style="list-style-type: none"> • Basic risk types • The role of risk management • Enterprise Risk Management(ERM) • History of financial disasters and risk management failures • 2007 financial crisis
2	Capital Market Risk Management
	<ul style="list-style-type: none"> • Equity, currencies & commodities markets in India • Introduction to Derivatives • Forward, Future and option contracts • Hedging through Derivatives contract • Fixed-income securities • Fixed-income risk management through derivatives • Rating agencies
3	Credit Market Risk Management
	<ul style="list-style-type: none"> • Introduction, • Information required for evaluation of credit risk, • Procedure for Credit Risk Management, • Credit Lifecycle, • Loan Review Mechanism, • RBI guidelines on Credit Rating Framework in Banks, • Introduction of Basel Norms and calculation of capital adequacy ratio
4	Risk Measurement
	<ul style="list-style-type: none"> • Estimation of volatilities and correlations (application to volatility term structures) Monte Carlo simulations (application to interest rate forecasting) • Linear Value-at-Risk(application to market, credit and operational risk) • Option valuation • Risk-adjusted return on capital (RAROC) & beta calculation • Risk management of derivatives (application to convertible risk) • Interest rates and measures of interest rate sensitivity

Syllabus of Courses of B.Com. (Banking & Insurance)
Programme at Semester IV
with Effect from the Academic Year 2024-25

Skill Enhancement Courses (SEC)

BI254. Human Resource Management

Modules at a Glance

Sr. No.	Modules	No. of Lectures
1	Framework of Human Resource Management	15
2	Functions of Human Resource Management	15
Total		30

Course Code	Course Title	Learning Outcomes
BI254	Human Resource Management	The learner will be able to - <ol style="list-style-type: none"> 1. Define HRM. [1]* 2. Describe the role and functions of the HR Manager. [2]* 3. Collect information on HRM Policies of Organisations. [3]* 4. Analyze, integrate and assess the core staffing activities of the organisation. [4]* 5. Assess the impact of globalization on Human Resource Management. [5]*

Note: [1]: Remembering, [2]: Understanding, [3]: Applying [4]: Analysing, [5]: Evaluating, [6]: Creating

Sr. No.	Modules / Units
1	Framework of Human Resource Management
	Introduction to HRM, Nature of HRM, Scope of HRM, Functions and Objectives of HRM, HRM Policies and Practices, Role and Functions of HR Manager (in Banking and Insurance Sector), Strategic function of HRM, Understanding and Implementing Global Competitiveness and HR, strategic HR, Linkages of Organizational and HR Strategies.
2	Functions of HRM
	<ul style="list-style-type: none"> • Human Resource Development • Process of Human Resource Planning • Scope of Human Resource Management • Methods of Developing Human Resource • Performance Appraisal – Meaning and Definition – Traditional and Modern Methods of Appraisal – Self Appraisal – 360 degree Appraisal

Syllabus of Courses of B.Com. (Banking & Insurance)
Programme at Semester IV
with Effect from the Academic Year 2024-25

Skill Enhancement Courses (SEC)

BI255. Customer Relationship Management

Modules at a Glance

Sr. No.	Modules	No. of Lectures
1	Introduction to Customer Relationship Management (CRM)	15
2	Customer Relationship Management (CRM) in Banking and Insurance Sector	15
Total		30

Course Code	Course Title	Learning Outcomes
BI255	Customer Relationship Management	<p>The learner will be able to -</p> <ol style="list-style-type: none"> 1. State the importance & benefits of CRM. [1]* 2. Understand the concepts of CRM. [2]* 3. Apply the concept of CRM, the benefits delivered by CRM, the contexts in which it is used. [3]*. 4. Analyse the process of CRM. [4]* 5. Evaluate how CRM practices and technologies to enhance the achievement of marketing, sales and service objectives throughout the customer life-cycle stages. [5]* 6. Design customer relationship management strategies by understanding customers' preferences for the long-term sustainability of the Organizations. [6]*.

Note: [1]: Remembering, [2]: Understanding, [3]: Applying [4]: Analysing, [5]: Evaluating, [6]: Creating

Sr. No.	Modules / Units
1	Introduction to Customer Relationship Management (CRM)
	Meaning, Definition of CRM, Development in CRM, Benefits of CRM, Effective CRM through Customer Knowledge Management, CRM Cycle, Winning market through effective CRM, CRM programmes, Relationship marketing & effectiveness of Relationship marketing, Factors responsible for growth of Customer Relationship Management (CRM)
2	Customer Relationship Management (CRM) in Banking and Insurance Sector
	Building customer loyalty, B2B Commerce, B2B relationship with intermediaries, Relationship marketing for creating value in business & market, Customer Relationship Management in Indian Banking and Insurance sector- Introduction, CRM objectives, need of CRM, Process of CRM, Customer Relationship Management through Call Centres in Banking sector, E- CRM in Banking and Insurance

**Syllabus of Courses of B.Com. (Banking & Insurance)
Programme at Semester IV
with Effect from the Academic Year 2024-25**

Ability Enhancement Courses (SEC)

BI256. Professional Communication

Modules at a Glance

Sr. No.	Modules	No. of Lectures
1	Presentation Skills	15
2	Formal Communication	15
Total		30

Course Outcomes:

Course Code	Course Title	Learning Outcomes
BI256	Professional Communication	The learner will be able to - <ol style="list-style-type: none"> 1. Identify the Commercial Terms used in Business Communication. [1]* 2. Understand the Principles of Effective Presentation.[2]* 3. Demonstrate the presentation skills. [3]* 4. Analyse contexts, situations and barriers that impede communication self-efficacy. [4]* 5. Perform verbal and nonverbal communication behaviors that illustrate self-efficacy [5]* 6. Create messages appropriate to the audience, purpose, and context. [6]*

Note: [1]: Remembering, [2]: Understanding, [3]: Applying [4]: Analysing, [5]: Evaluating, [6]: Creating

Sr. No.	Modules / Units
1	Presentation Skills
	Presentations: (to be tested in tutorials only) 4 Principles of Effective Presentation Effective use of OHP Effective use of Transparencies How to make a Power-Point Presentation
2	Formal Communication
	Commercial Terms used in Business Communication Formal Communication : Writing CV , Memo, Drafting an E-mail and Press Release Interviews: Group Discussion Preparing for an Interview, Types of Interviews – Selection, Appraisal, Grievance, Exit Meetings: Need and Importance of Meetings, Conduct of Meeting and Group Dynamics Role of the Chairperson, Role of the Participants, Drafting of Notice, Agenda and Resolutions Conference: Meaning and Importance of Conference Organizing a Conference Modern Methods: Video and Tele – Conferencing Public Relations: Meaning, Functions of PR Department, External and Internal Measures of PR

Syllabus of Courses of B.Com. (Banking & Insurance)
Programme at Semester III
with Effect from the Academic Year 2024-25

Reference Books

Reference Books
Financial Markets
<ul style="list-style-type: none"> • Khan M.Y, Financial Services, Mc Graw Hill Education. 7th edition,2013 • Dr. S. Gurusamy, Financial Services, Vijay Nicole Imprints. 2ndedition, 24th August, 2015. • E. Gordonand K. Natarajan–Financial Markets and Services.11thRevised Edition,1st January,2018 • NitiChatnani-CommoditymarketsMcGrawHillPublication.1stedition,8thOctober, 2009 • S. Kevin,-Commodities & financial derivatives PHIL earning Pvt. Ltd. 2nd edition, 1st January, 2014
Strategic Financial Management
<ul style="list-style-type: none"> • Strategic Financial Management by Dr. Ritu Wadhva, Kojo Publishers, 1st Jan 2023. • Strategic Financial Management by Prasanna Chandra, McGraw Hill Education, 1 July 2017 • Financial Management- I : M. Pandey, Vikas Publishing House, 11th edition,2015. • Financial Management: M.Y. Khan, P. K. Jain, Tata McGraw Hill,7th edition, July2017 • Financial Management: Ravi M Kishore, Taxman. 3rd edition, June2017 • Financial Management: James C. Van Horne, Prentice Hall, 13th edition, 4th November, 2008 • Financial Management: Prassana Chandra, Prentice Hall. 9th edition, 27th June, 2015
Wealth Management
<ul style="list-style-type: none"> • Wealth Management- Dun & Brastreet, Tata McGrawHill

- Wealth Management- S.K .Bagachi, Jaico publishing house
- Wealth Management- Suyash Bhat, Excel Books
- Wealth Management- Harold Evensky, Tata McGrawHill
- Investment Analysis & Portfolio Management- Prasanna Chandra, Tata McGraw Hill
- NCFM- Module of wealth management

Business Ethics

- A. C. Fernando, Corporate Governance Principles, Policies and Practices; Pearson
- Marc Goeren, International Corporate Governance; Black wells.
- Cristian A. Mallin, Corporate Governance.
- Business Ethics, Crane& Matten
- The Management and ethics omnibus, Chakraborty, Its only Business, Mitra,
- Values and Ethics for Organizations, Chakraborty, OUP/OIP
- Perspectives in Business Ethics, Hartman, Chatterjee

Information Technology in Banking& Insurance

- E-Banking in India: Challenges and Opportunities-By RimpiJatana, R. K. Uppal, Volume- II, No.-40, June2008.
- Frontiers of E-Commerce- by Ravi Kalakota, Andrew B. Whinston- Pearson Education. 1st edition,1996
- Microsoft Office Professional 2013-Step by step1 st edition, 15th May, 2013.
- An Overview of Cyber Crime & security-Volume 1-1st Edition by Akash Kamal Mishra. Volume-I, 1st edition, 26th January, 2016.
- Computers and Banking- by Sony and Agarwal. Kindle edition/ 2nd edition, 5th March, 2017.
- E-Commerce by David Whitely. Indian edition, 1st July, 2017.

Cyber Security

- Introduction to Cyber Security : Guide to the World of Cyber Security, 1st edition by Anand Shinde, Notion Press Publisher.
- Fundamental of Cyber Security (Principles, Theory & Practices) BPB; First Edition (1 January 2017) by Mayank Bhushan & Rajkumar Singh Rathore.

- Cyber Security and Cyber Laws by Nilakshi Jain and Ramesh Menon ,Wiley (1 October 2020),
- Information Technology & Cyber Law by Krishna Pal Malik, Dr, Gagandeep kaur, Allahabad Law Agency.

**Syllabus of Courses of B.Com. (Banking & Finance)
Programme at Semester IV
with Effect from the Academic Year 2024-25**

Reference Books

Reference Books
Corporate & Securities Law
<ul style="list-style-type: none"> • Mamta Bhargava–Compliances and Procedures under SEBI Law, Revised edition,2004 • V.L Iyer – SEBI Practice Manual –Taxmann, Volume-I,2002 • D.K Jain – Company Law Ready Reckoner, 22nd edition,2020 • Bare Act – Corporate Laws Taxmann Microsoft Office Professional 2013-Step by step, 1st edition, 2013
Risk Management
<ul style="list-style-type: none"> • Quantitative Risk Management : A Practical Guide to Financial Risk- Thomas S. Coleman • Investment Theory and Risk Management: Steve Peterson • Risk Management : M/s Macmillan India Limited • Theory & Practice of Treasury Risk Management: M/s Taxman Publications Ltd. • Corporate Value of ERM : Sim Segal • Risk Management: Insurance and Derivatives Dr G Kotreshwar-Himalaya Publishing House .
Human Resource Management
<ul style="list-style-type: none"> • Human Resources Management, Gary Dessler, Pearson Publishers, fifteenth edition, 26 Dec 2017 • Personnel Management– C.B Mamoria, Himalaya Publishing House, Jan 1 2011 • Human Resources Management, V.P. Michael, 2011 • Human Resources Management– L.M. Prasad, Sultan Chand & Sons Publishers, 2014 • Human Resources Management, Ashwathappa, McGraw Hill Education, May 2013

Customer Relationship Management

- Alok Kumar Rai, “Customer Relationship Management – Concepts and Cases”, PHI Learning Private Ltd, New Delhi
- Berry, Leonard L. (1995), “Relationship marketing of services – competing through quality”, free press, New York
- Berson, A and S J Smith, K Thearling (1999), “Building Data Mining Applications for CRM”, McGraw-Hill, New York.
- Chaturvedi, Kapil and Amit Bhatia (2001), “e-CRM: Deriving value of customer relationship”, CRM: Emerging Concepts, tools and Application, in J N Sheth, A
- McKenna, Regis (1991), “Relationship Marketing: Successful Strategies for the Age of the Customer”, Addison-Wesley, New York.
- Madhavi Garikaparathi, “ E-CRM – Concepts and Cases” , The ICFAI University Press.
- Graham Roberts , Phelps, “Customer Relationship Management” , Thorogood Publishers Limited, UK

Professional Communication

- Agarwal, Anju D (1989) A Practical Handbook for Consumers, IBH.
- Alien, R. K. (1970) Organisational Management through Communication.
- Ashley, A (1992) A Handbook Of Commercial Correspondence, Oxford University Press.
- Aswalthapa, K (1991) Organisational Behaviour, Himalayan Publication, Mumbai.
- Atreya N and Guha (1994) Effective Credit Management, MMC School of Management, Mumbai.
- Bahl, J.C. and Nagamia, S.M. (1974) Modern Business Correspondence and Minute Writing.
- Balan, K .R. and Rayudu CS. (1996) Effective Communication, Beacon New Delhi.
- Bangh, L Sue, Fryar, Maridell and Thomas David A. (1998) How to Write First Class Business Correspondence, N.T.C. Publishing Group USA.
- Barkar, Alan (1993) Making Meetings Work, Sterling Publications Pvt. Ltd., New Delhi,
- Basu, C.R. (1998) Business Organisation and Management, T.M.H. New Delhi.

Syllabus of Courses of B.Com. (Banking & Insurance)
Programme at Semester III and IV
with Effect from the Academic Year 2024-25

Scheme of Evaluation

The performance of the learners will be evaluated in two Components. One component will be the Internal Assessment component carrying 40% marks and the second component will be the Semester-wise End Examination component carrying 60% marks. The allocation of marks for the Internal Assessment and Semester End Examinations will be as shown below: -

A) Internal Assessment: 40Marks

• **Continuous Internal Assessment(CIA)**

Evaluation System	Details	Marks
Continuous Internal Assessment	Online Examinations/MOOC's	20
	Seminar / PPT Presentation / Hands on Approach / Field Visits	10
	Case Study/ Situation Analysis / Project Group Discussion	10
	Total	40

• **Online Test (20Marks)**

Question Paper Pattern

Internal Examination

N. B. All questions are compulsory.

Question No.	Particular	Marks
Q-1	Asked 5 questions. (Attempt any 3 from the following.) 5 Marks each	15 Marks
Q-2	Match the Column/ Fill in the Blanks/ True or False/ Multiple Choice Questions	05 Marks

B) Semester End Examination: 60 Marks

Semester End Examination: 60%

- i) Duration: The examination shall be of 2 Hours duration
- ii) Theory question paper pattern
 - There shall be four questions each of 15marks.
 - All questions shall be compulsory with internal choice within the questions.
 - Question may be subdivided into sub questions a, b, c...and the allocation of marks depends on the weight age of the topic.

(Detail question paper pattern has been given separately)

Passing Standard

The learners to pass a course shall have to obtain a minimum of 40% marks in aggregate for each course where the course consists of Internal Assessment and Semester End Examination. The learners shall obtain minimum of 40% marks (i.e. 16 out of 40) in the Internal Assessment and 40% marks in Semester End Examination (i.e. 24 out of 60) separately, to pass the course and minimum of Grade E to pass a particular semester A learner will be said to have passed the course if the learner passes the Internal Assessment and Semester End Examination together.

(Detail question paper pattern has been given separately)

Question Paper Pattern (Theoretical Courses)

Maximum Marks: 60

Questions to be set: 04

Duration: 2 Hrs.

All Questions are Compulsory Carrying 15 Marks each.

Question No.	Particular	Marks
Q-1	Objective Questions A) Sub Questions to be asked 10 and to be answered any 08 B) Sub Questions to be asked 10 and to be answered any 07 (*Multiple choice / True or False / Match the columns/Fill in the blanks)	15 Marks
Q-2	Attempt any two A) Theory Questions B) Theory Questions C) Theory Questions D) Theory Questions	15 Marks (7.5 * 2)
Q-3	Attempt any two A) Theory Questions B) Theory Questions C) Theory Questions D) Theory Questions	15 Marks (7.5 * 2)
Q-4	Attempt any two A) Theory Questions B) Theory Questions C) Theory Questions D) Theory Questions	15 Marks (7.5 * 2)

Note:

Theory question of 15 marks may be divided into two sub questions of 7/8 and 10/5 Marks.

Question Paper Pattern (Practical Courses)

Maximum Marks: 60

Questions to be set: 04

Duration: 2 Hrs.

All Questions are Compulsory Carrying 15 Marks each.

Question No.	Particular	Marks
Q-1	A) Practical Questions	08 Marks
	B) Practical Questions	07 Marks
	OR	
Q-1	P) Practical Questions	15 Marks
Q-2	A) Practical Questions	08 Marks
	B) Practical Questions	07 Marks
	OR	
Q-2	P) Practical Questions	15 Marks
Q-3	A) Practical Questions	08 Marks
	B) Practical Questions	07 Marks
	OR	
Q-3	P) Practical Questions	15 Marks
Q-4	Objective Questions	
	A) Sub Questions to be asked 10 and to be answered any 08	08Marks
Q-4	B) Sub Questions to be asked 10 and to be answered any 07 (*Multiple choice / True or False / Match the columns/ Fill in the blanks)	07Marks
	OR	
	P) Theory Questions/ Short Notes	
	To be asked 05	
	To be marked 03	15Marks

Note:

Practical question of 15 marks may be divided into two sub questions of 7/8 and 10/5 Marks. If the topic demands, instead of practical questions, appropriate theory question may be asked.

Continuous Internal Assessment (CIA)

SYBBI (SEM III)			SYBBI (SEM IV)		
Sr. No	Subjects	CIA	Sr. No	Subjects	CIA
3.1	Financial Management-I	Online Test- 20M Board Work- 10 M Class Assignment-10 M	4.1	Financial Management-II	Online Test- 20M Project & Viva- 10 M Class Assignment - 10M
3.2	Management Accounting (Tools & Techniques)	Online Test- 20M Board Work- 10 M Class Assignment -10M	4.2	Cost Accounting of Banking & Insurance	Online Test- 20M Class Assignment - 10M Project- 10M
3.3	Risk Management	Online Test- 20M Case Study- 10M PPT & Viva- 10M	4.3	Entrepreneurship Management	Online Test- 20M Business Plan- 10 M Govt. of India support to Entrepreneurs - 10M
3.4	Information Technology in Banking & Insurance- I	Online Test- 20M PPT- 10M Practical- 10M	4.4	Information Technology in Banking & Insurance- II	Online Test- 20M PPT- 10M Practical- 10M
3.5	Foundation Course- III (An Overview of Banking Sector)	Online Test- 20M Case Study- 10 M Project & Viva- 10M	4.5	Foundation Course- IV (An Overview of Insurance Sector)	Online Test- 20M Case Study- 10 M Project & viva- 10M
3.6	Financial Market (Equity, Debt, Forex and Derivatives)	Online Test- 20M Field Visit- 10M Online Survey- 10M	4.6	Corporate and Securities Law	Online Test- 20M Case Study- 10 M Home Assignment-10M
3.7	Direct Taxation	Online Test- 20M Class Assignment - 10 M Project- 10M	4.7	Business Economics-II	Online Test- 20M PPT & Viva- 10 M News Article Analysis- 10M

Rayat Shikshan Sanstha's
Karmaveer Bhaurao Patil College, Vashi.
(Empowered Autonomous)



Syllabus for Approval
Value Added Course
Certificate Course in Stock Market Investment & Trading

Sr. No.	Heading	Particulars
1	Class	S.Y.B.Com.(Banking & Insurance)
2	Eligibility for Admission	12th Pass in any stream or equivalent qualification Open category - Minimum 45% Reserved category- Minimum 40%
3	Title of the Course	Certificate Course in Stock Market Investment & Trading
4	Passing Marks	40%
5	Syllabus and Evaluation	30 Marks for Semester Exam + 20 Marks Continuous Internal Evaluation
6	Duration	30 Hrs.
7	Level	P.G./ U.G./ Diploma / Certificate (Strike out which is not applicable)
8	Pattern	Yearly / Semester (Strike out which is not applicable)
9	Status	New / Revised (Strike out which is not applicable)
10	To be implemented from Academic Year	From Academic Year 2024-25

Date:

Signature:

Name of BOS Chairperson / Dean: _____

AC- / /
Item No-



**Rayat Shikshan Sanstha's
KARMAVEER BHARAO PATIL COLLEGE, VASHI.
NAVI MUMBAI
(EMPOWERED AUTONOMOUS COLLEGE)
Sector-15- A, Vashi, Navi Mumbai - 400 703**

Syllabus for Value Added Courses

Program: B.Com. Banking & Insurance (Honours)

**Course: Certificate Course in Stock Market
Investment & trading**

**(Choice Based Credit, Grading and Semester
System with effect from the academic year
2024-25)**

**Syllabus of Skill Enhancement Course of
B.Com. (Banking & Insurance) Programme
with effect from the Academic Year 2024-25**

**Certificate Course in Stock Market Investment &
Trading**

Details of the Certificate Course:

1. Credit	: 1
2. Eligibility	: 10+2
3. Duration	: 30 Hrs
4. Intake Capacity	: 50 Students
5. Fees Structure	: Rs. 3000

Course Objectives:

This course aims at giving a comprehensive understanding on the stock market operations in terms of its structure, trading, settlement procedures, processes and related components and the regulations, emerging challenges in the Indian Stock market.

Learning Outcomes:

This course is a beginners guide to the stock market. The Objective of the course is to equip the participant with necessary stock market theoretical and practical know-how so that they can apply the same and start their trading/ investing journey. After completion of the course learners will be able to:

1. Understand overall share market.
2. Identify the trends, support, and resistance in the Stock Market.
3. Determine the risk of a stock investment or trade.
4. Understand how to build a portfolio and investment decision in an appropriate manner.
5. Develop skill of identification of candlesticks and chart patterns.

Modules at a Glance

Sr. No.	Modules	No. of Lectures
1	Introduction to Trading and Investing	06 session
2	Primary Markets: Structure and Participants	06 session
3	Secondary Markets -Structure and Participants	06 session
4	Equity Analysis and Valuation	03 session
5	Introduction to Futures	03 session
6	Introduction to Options	03 session
7	Market Indicators	03 session

Scheme of Evaluation

Evaluation Scheme:

Evaluation System	Particular	Marks
Term end Assessment	Written Test / Online Test	30 Marks
Internal Evaluation	Group discussion/ Role Plays/ Management Games/ Case Studies/ Practical	20 marks
	Total	50 Marks

Sr. No.	Modules / Units
1	Introduction to Trading and Investing
	Introduction, need, Lessons, Risk and return from Equity, Market Cycles, Value vs Growth EMH vs. Mr. Market Concentration vs Diversification , Art vs Science ,Quality vs. Valuation ,Active vs. Passive, Indices
2	Primary Markets: Structure and Participants
	Definition, Functions, Types- Issues, Issuers, investors, Public issues of equity shares- Types, Pricing, Process, Funding for IPO/Leverage investment
3	Secondary Markets -Structure and Participants
	Secondary Market Participants, Indices and different sectors, Electronic Trading Systems, Types of Orders , Price Matching & Trade Execution , Other Order Matching Order, Execution and Contract Note, Corporate Actions Delivery Instruction Slip Settlement of Trades, Types of Accounts, Taxation
4	Equity Analysis and Valuation
	Financial Ratios , Equity Valuation, Valuation Ratios and Multiples, Technical Analysis , Types of charts , Basic indicators
5	Introduction to Futures
	Basics of Derivatives, Trading futures , Features, Participants, Payoff for Long Futures, Payoff for Short Future
6	Introduction to Options
	Meaning, • Option Buyer, Option Seller, Different between Futures and Option, Options Terminology, Call option, Put option
7	Market Indicators
	Fundamental indicators, Technical indicators, Sentiment indicators

List of Reference Books:

1. Sanjay Mehrotra, (2022) Beginner's Guide to Stock Market, Pramesh Universal India
2. Arvind Arora, (2022) Basics of Stock Market, Invincible
3. Mark Minervini, (2013) Trade Like a Stock Market Wizard, Mc Graw Hill.
4. Dr. Vanita Tripathi (2023) Investing in Stock Markets, Taxmann,s Publications.
5. Prasenjit Paul, (2023) Multibagger Stocks, Penguin Business.
6. Edward W. Ryan, (2021) Stock Picking Strategy, Harriman House Publishing.
7. TS Anantharaman (2020) Stock Market Wisdom, Taxmann's
8. Joel Greenblatt, (1999) You can be a Stock Market Genius, Simon & Schuster
9. Michael D. Sheimo, (2005) Stock Market Rules, Mc Graw Hill.